

# CS 121: Lecture 6

## Code = Data

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# Administrative

HW1 Graded?

HW2 out, Section 2 cycle ongoing, 1<sup>st</sup> Midterm in 3 weeks

121.5: Ryan O'Donnell: Analysis of Boolean Functions

# Where we are:

- Definition of Circuits
- Universality of NAND
- All functions can be computed
- $\forall f: \{0,1\}^n \rightarrow \{0,1\}: \text{Size}(f) \leq O\left(\frac{2^n}{n}\right)$
- Claimed:  $\exists f, \text{Size}(f) \geq \Omega\left(\frac{2^n}{n}\right)$
- Today: Will prove above.
- Show: Code = Data
- Show how to interpret Data as Code.

Part I: Circuits:  
Finite computation,  
quantitative study

Part II: Automata:  
Infinite restricted computation,  
quantitative study

Part III: Turing Machines:  
Infinite computation, qualitative study

Part IV: Efficient Computation:  
Infinite computation, quantitative study

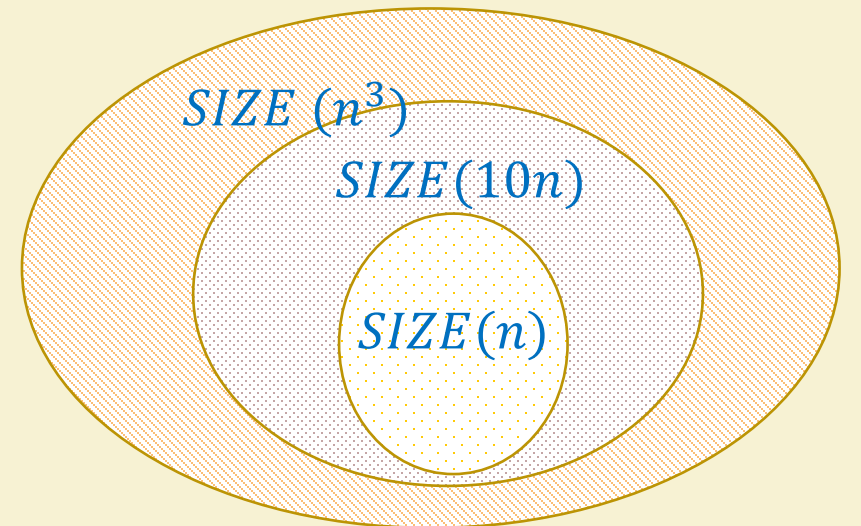
Part V: Randomized computation:  
Extending studies to non-classical algorithms

# Today: Code as Data

- Circuits can be represented by bits
- Exercise break: Quantify above. Prove lower bound on Circuit size (for hardest function).
- Universality: Circuit Interpreter  $I(C,x) = C(x)$ 
  - As immediate consequence of "Code as data" - Inefficient
- Efficient Circuit Interpreter (sketch)
- Exercise break: Some Ingredients

# Notation: $\text{SIZE}(s)$

- $\text{SIZE}(s) = \{f: \{0,1\}^n \rightarrow \{0,1\} \mid \exists C \text{ with } \leq s \text{ NAND gates computing } f\}$
- $\text{SIZE}(s)$  = Our first complexity class!
  - Always a set (aka "class") of functions, not algorithms !
  - Is the following in  $\text{SIZE}(3)$ ?  $\text{SIZE}(10)$ ?
- $\text{ALL}_n = \{f: \{0,1\}^n \rightarrow \{0,1\}\}$
- Thm:  $\text{ALL}_n \subseteq \text{SIZE}\left(o\left(\frac{2^n}{n}\right)\right)$
- (Claimed) Thm:  $\text{ALL}_n \not\subseteq \text{SIZE}\left(o\left(\frac{2^n}{n}\right)\right)$



# Reminder: Circuit $\equiv$ Straightline Program

Temp[0]  $\leftarrow$  NAND( $X[0]$ ,  $X[1]$ )

Encode to  $\{0,1\}^*$  in class

Temp[1]  $\leftarrow$  NAND( $X[2]$ ,  $X[2]$ )

...

Temp[ $i$ ]  $\leftarrow$  NAND(Temp[ $j$ ],  $X[k]$ )

...

$Y[0]$   $\leftarrow$  NAND( $X[0]$ ,  $X[1]$ )

...

$Y[m-1]$   $\leftarrow$  NAND( $X[0]$ ,  $X[1]$ )



# Exercise Break 1:

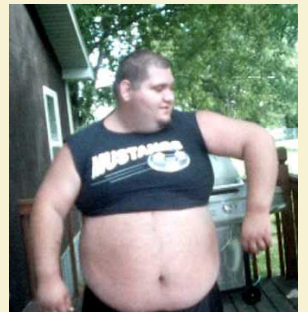
1. Make Representation quantitative:

- Give (prefix-free)  $E: \text{Circuits} \rightarrow \{0,1\}^*$ , such that  $\forall C$  with  $\leq s$  gates,  $|E(C)| = \mathbf{O}(s \log s)$

2. Show  $|\mathbf{SIZE}(s)| = \mathbf{2}^{\mathbf{O}(s \log s)}$

3. Show  $\exists f: \{0,1\}^n \rightarrow \{0,1\}$  s.t.  $f \notin \mathbf{SIZE}\left(o\left(\frac{2^n}{n}\right)\right)$   $\left(\Leftrightarrow \mathbf{ALL}_n \notin \mathbf{SIZE}\left(o\left(\frac{2^n}{n}\right)\right)\right)$

Bonus question (0 points):  
Why do Boaz Barak's slides  
associate this picture with  
3<sup>rd</sup> exercise!











# Interpreting Code

- Objective: Show Data representing Code can be interpreted as code.
- Have just shown:  $\exists E: \text{Circuits} \mapsto \text{binary string}$ , 1-to-1  
 $C \text{ has } \leq s \text{ NAND Gates} \Rightarrow |E(C)| = O(s \log s)$
- EVAL:  $(E(C), x) \mapsto C(x)$ ,  $\forall C$  with  $n$  inputs,  $x \in \{0,1\}^n$ 
  - EVAL is a partial function – why?
- $\text{EVAL}_{m,n}$  = restriction of EVAL to  $E(C) \in \{0,1\}^m, x \in \{0,1\}^n$ 
  - Thm:  $\text{EVAL}_{m,n}$  computed by circuit of size  $O(2^{m+n})$
  - Proof: Obvious!
  - Implication: Power of Code  $\leftrightarrow$  Data-duality!

# Interpreting Circuits Efficiently.

- Goal: Show  $\text{EVAL}_{m,n} \in \text{SIZE} \left( O((m+n)^2 \log^2 n) \right)$ 
  - Theorem 5.3 in Barak's IntroTCS.
  - Best bound in literature: close to  $O((m+n)\log^2(m+n))$
  - Great, but not "Meta-circular interpreter" (small interpreter that interprets bigger functions).

# Sketch of EVAL

- Recall:  $E(C) = ((i_0, j_0, k_0) \dots (i_{s-1}, j_{s-1}, k_{s-1}))$  ( $s \leq m$ )
- Define:  $W_t \in \{0,1\}^{n+s}$ : Values of  $n$  inputs,  $s$  TEMPs after  $t$  execution steps
- Define:
  - EVAL – ITER:  $(E(C), x, t) \mapsto W_t$
  - EVALHELP:  $(W_{t-1}, i_t, j_t, k_t) \mapsto W_t$  ;
  - EVAL – ITER( $E(C), x, t$ ) = EVALHELP(EVAL – ITER( $E(C), x, t - 1$ ),  $i_t, j_t, k_t$ )
  - Suffices to show EVALHELP  $\in$  SIZE( $(m + n) \log m + n$ )

# Sketch of EVALHELP

- Key Ingredients:
  - LOOKUP( $W, i$ ) =  $W_i$  where  $W = W_0 \dots W_{m-1} \in \{0,1\}^m$ ,  $i \in [m]$  represented in binary.
  - UPDATE( $W, k, b$ ) =  $\widehat{W}$  where  $\widehat{W}_k = b$  and  $\widehat{W}_\ell = W_\ell$  for  $\ell \neq k$
  - Claims:
    - LOOKUP  $\in$  SIZE( $m$ )
    - Exercise: UPDATE  $\in$  SIZE( $m^2$ ) (even better SIZE( $m \log m$ ))
      - Don't have to work out details. Think of the high-level plan.
  - EVALHELP( $W, i, j, k$ ) = UPDATE( $W, k, \text{NAND}(\text{LOOKUP}(W, i), \text{LOOKUP}(W, j))$ )

# Exercise Break 2:

- $\text{UPDATE}(W, k, b) = \widehat{W}$  where  $\widehat{W}_k = b$  and  $\widehat{W}_\ell = W_\ell$  for  $\ell \neq k$

$W, \widehat{W} \in \{0,1\}^m, k \in [m]$  represented in binary

- Exercise:
  - Show  $\text{UPDATE} \in \text{SIZE}(m^2)$  (even better  $\text{SIZE}(m \log m)$ )
    - Don't have to work out details. Think of the high-level plan.











# Circuits: What you need to know

**Theorem I:** Every function  $f: \{0,1\}^n \rightarrow \{0,1\}$  can be computed by circuit of size  $O(2^n/n)$ .



**Theorem II:** Some functions  $f: \{0,1\}^n \rightarrow \{0,1\}$  cannot be computed by circuits of size  $o(2^n/n)$ .



**SIZE Hierarchy Theorem: Book + Section/HW**

**Thm 5.11:**  $\exists C$  ( $C = 1000$  will do) .s.t  $\forall s < \frac{2^n}{Cn}$ ,  $SIZE_{n,1}(s) \subsetneq SIZE_{n,1}(C \cdot s)$

\* If  $f$  outputs  $m$  bits then add factor  $m$  to Thm I,II

# Size Hierarchy Theorem (Sec 5.5)

Thm 5.11:  $\exists C$  ( $C = 1000$  will do) .s.t  $\forall s < \frac{2^n}{Cn}$ ,  $SIZE_{n,1}(s) \subsetneq SIZE_{n,1}(C \cdot s)$

Special case:  $SIZE_{n,1}(n) \subsetneq SIZE_{n,1}(n^2)$

**Proof:** we know for every  $\ell$ :

- $\forall f: \{0,1\}^\ell \rightarrow \{0,1\}$ ,  $f \in SIZE_{\ell,1}(c \cdot 2^\ell / \ell)$
- $\exists f^*: \{0,1\}^\ell \rightarrow \{0,1\}$ ,  $f \notin SIZE_{\ell,1}(\delta \cdot 2^\ell / \ell)$

Set  $\ell$  s.t.  $n^2 = c2^\ell / \ell$ , define:  $g^*(x_0 \cdots x_{n-1}) = f^*(x_0 \cdots x_{\ell-1})$

$$g^* \in SIZE_{n,1}(c2^\ell / \ell) \setminus SIZE_{n,1}(\delta \cdot 2^\ell / \ell)$$

||

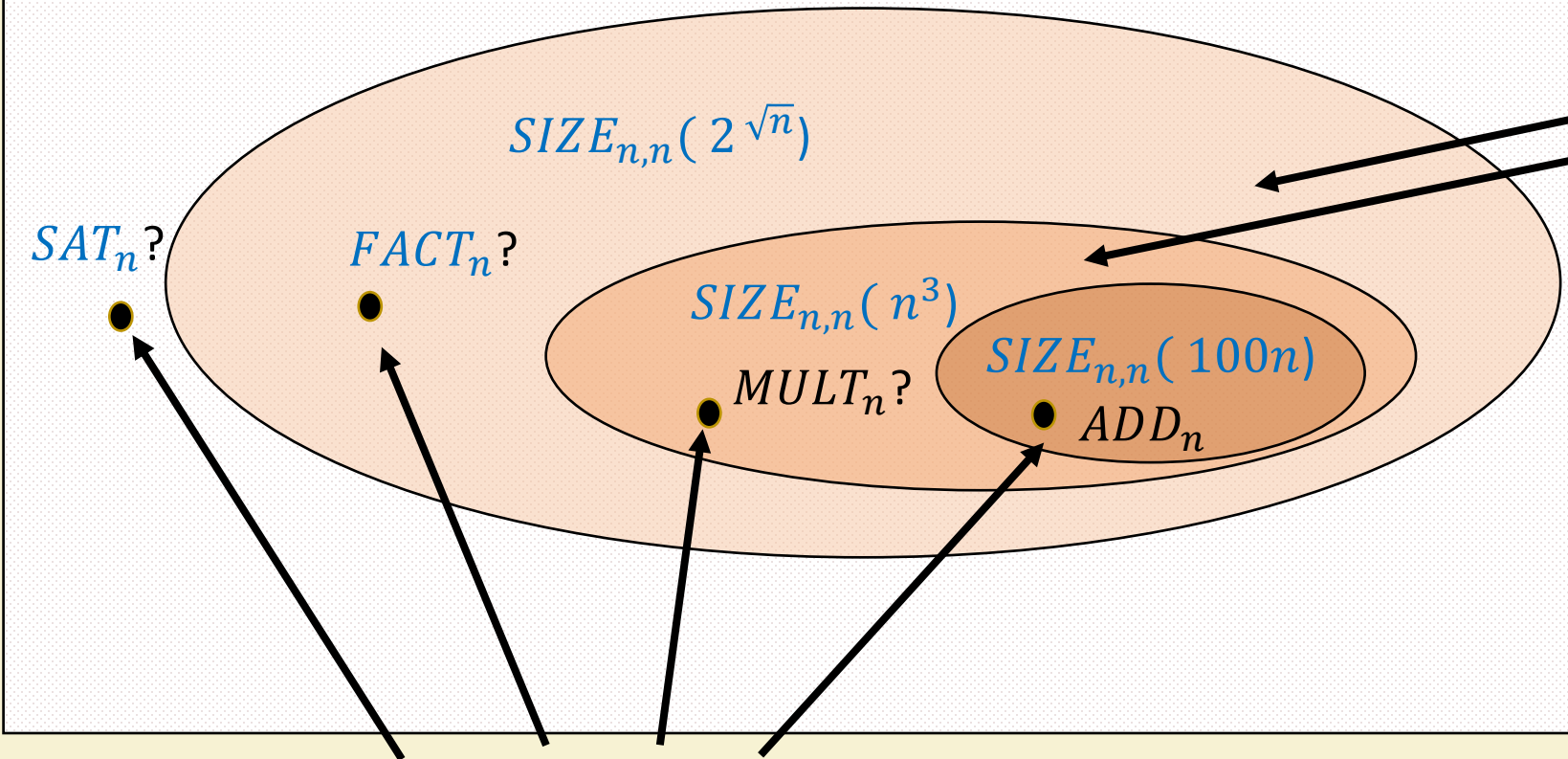
$$SIZE_{n,1}\left(\frac{\delta}{c} \cdot n^2\right) \supseteq SIZE_{n,1}(n) \quad \blacksquare$$

Equality follows from Thm 4.15

$$ALL_{n,n} = \{ f \mid f: \{0,1\}^n \rightarrow \{0,1\}^n \} = SIZE_{n,n}(c \cdot 2^n)$$

$ALL_n \setminus SIZE(2^{\sqrt{n}})$   
is not empty by the  
counting lower bound

Not empty by the size  
hierarchy theorem



Based on best-known algorithms for these problems. We don't know what is their true complexity. It could be that all are in  $SIZE_{n,n}(100n)$

# Extended Church Turing Thesis (circuit version)

If  $f: \{0,1\}^n \rightarrow \{0,1\}^m$  can be computed in the physical world using  $s$  resources then  $f$  can be computed by circuit of  $\approx s$  (e.g.  $O(s^2)$  or  $O(s^3)$ ) gates.

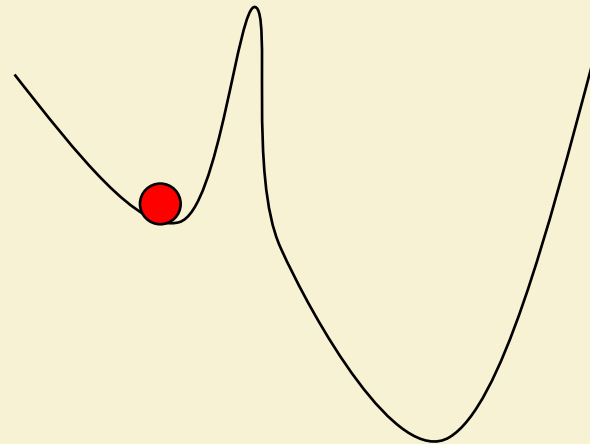
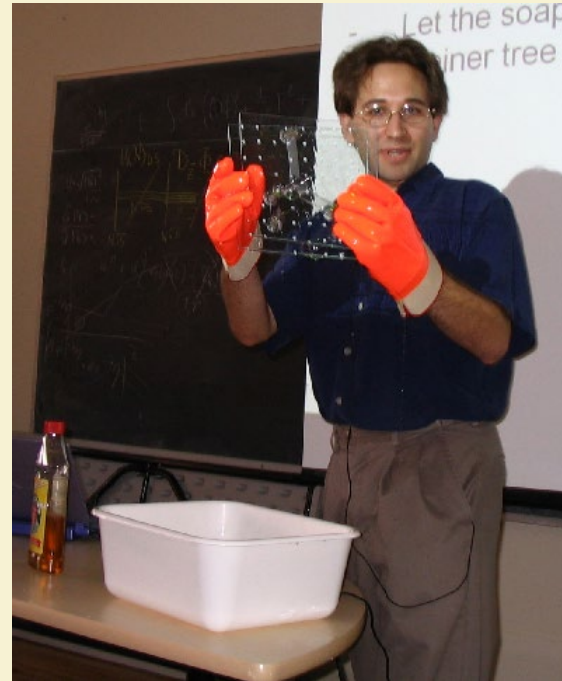
*(finite function version – we'll see unbounded function version soon)*

**TL;DR:** So far still stands. Only serious challenge is *quantum computing* which we'll see later.

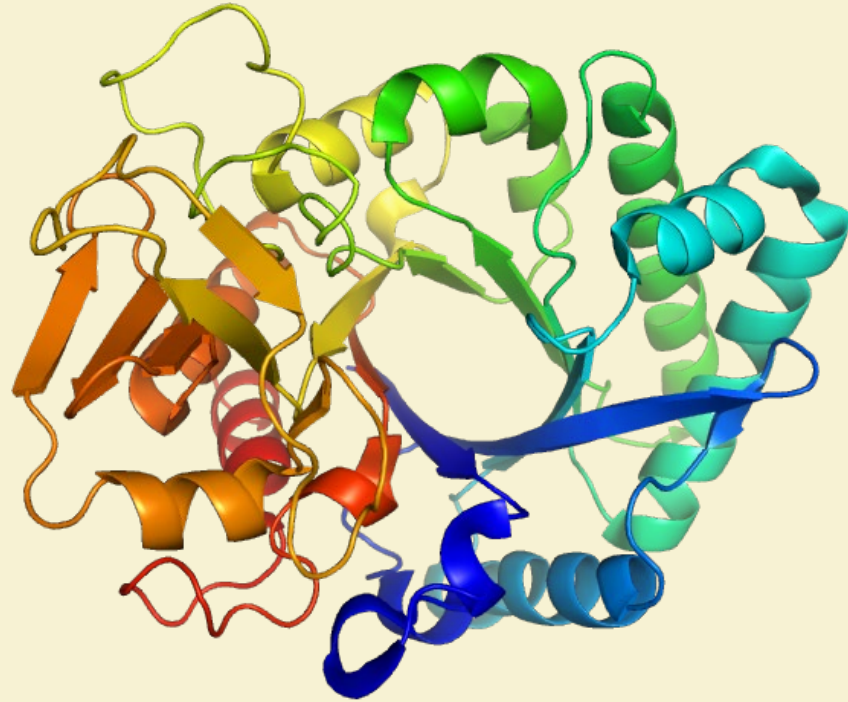
**Non-serious challenges:** *(Following slides stolen from Boaz Barak who stole it from Scott Aaronson)*



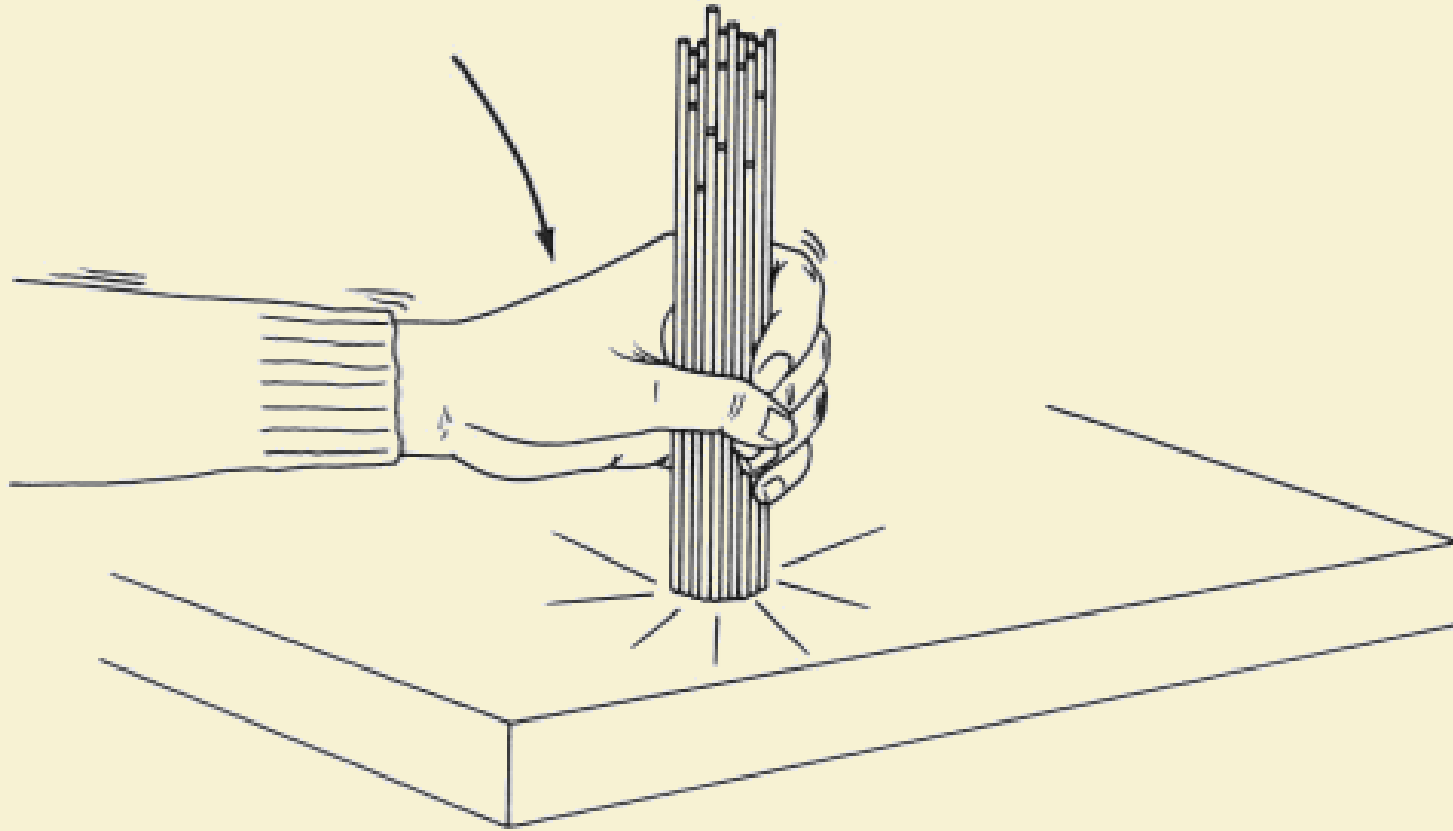
# Soap Bubble Computer



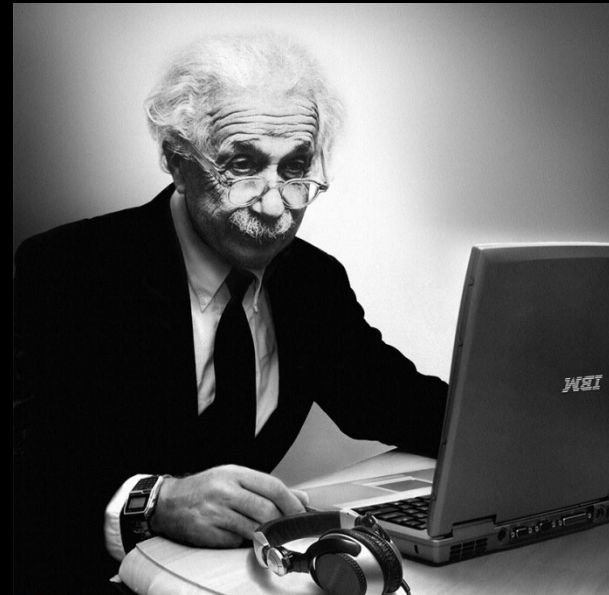
# Protein Folding



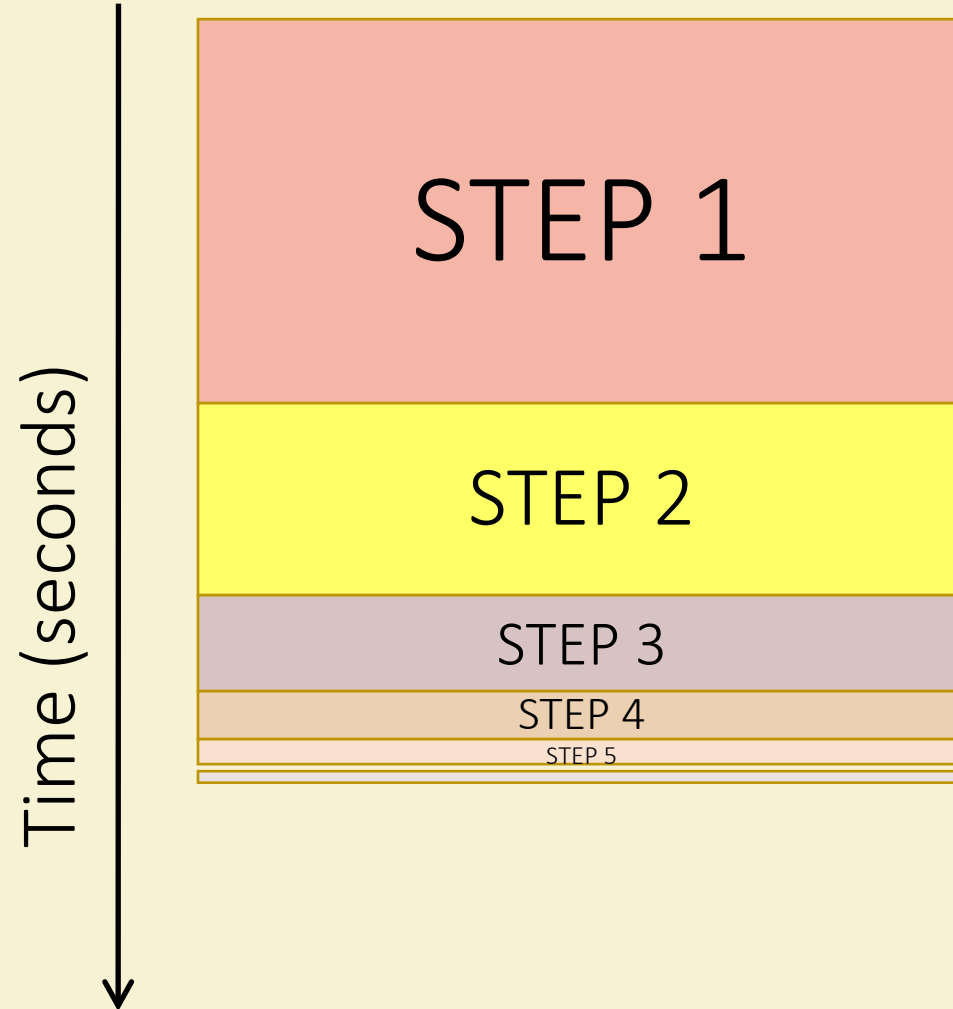
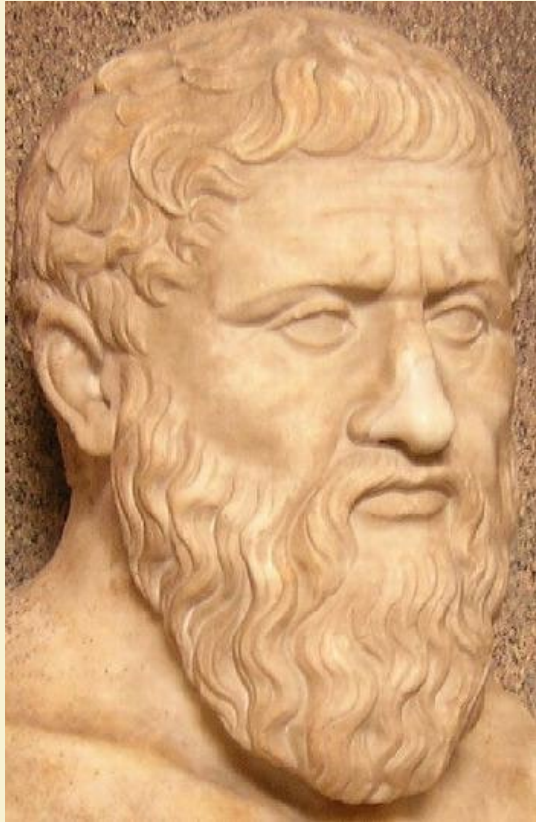
# Spaghetti Sort



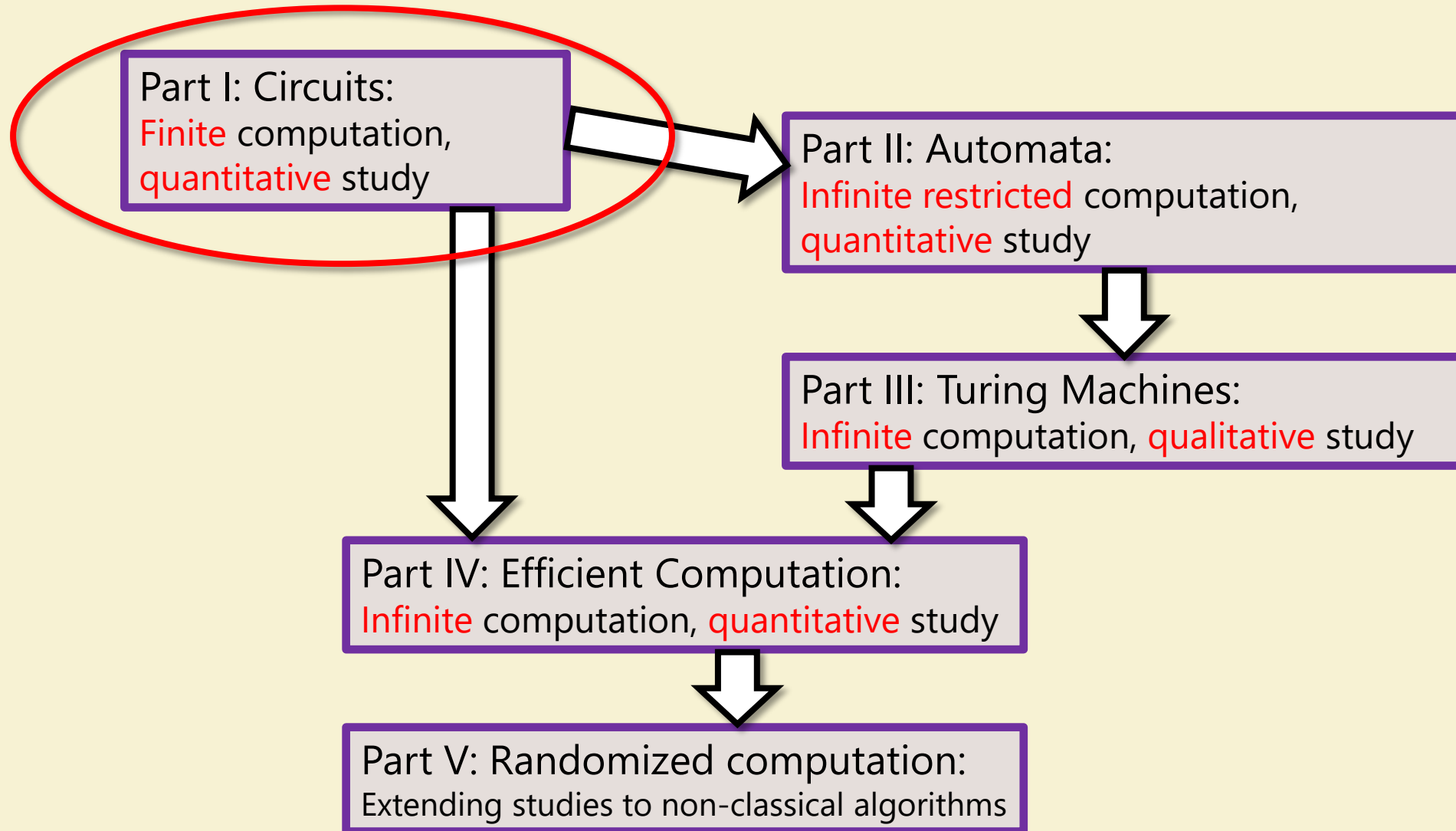
# Relativity Computer (cf. Malament and Hogarth)



# Zeno's Computer



# Where we are:



# Where we are:

