

CS121 Section 8: Rice's Theorem, Reduction, P, EXP

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Non-trivial property

A function F is called nontrivial iff there are two inputs x and y such that $F(x)=0$ and $F(y)=1$.

$$F(x) = \begin{cases} 1 & |x| > 10 \\ 0 & \text{o/w} \end{cases}$$

Semantic property

A pair of Turing machines M and M' are *functionally equivalent* if for every $x \in \{0,1\}^*$, $M(x) = M'(x)$. (In particular, M halts on x iff M' halts on x for all x .) A function $F: \{0,1\}^* \rightarrow \{0,1\}$ is *semantic* if for every pair of strings M, M' that represent functionally equivalent Turing machines, $F(M) = F(M')$. Example: ZEROFUNC

ZEROFUNC: $\{0,1\}^* \rightarrow \{0,1\}$ $\forall M \in \{0,1\}^*$

1 iff M represents TM such that M outputs 0 $\forall x \in \{0,1\}^*$

ZEROFUNC(M) = ZEROFUNC(M')



Rice's Theorem

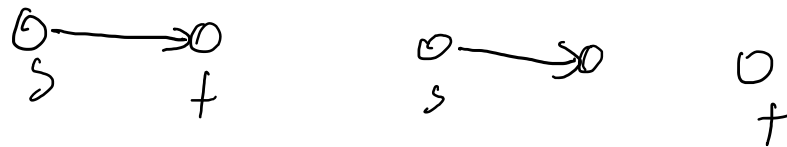
Let $F: \{0,1\}^* \rightarrow \{0,1\}$. If F is semantic and non-trivial then it is uncomputable.

Rice's Theorem Example

Prove that CONST is uncomputable. CONST: $\{0,1\}^* \rightarrow \{0,1\}$ is a function that on every input M representing Turing Machine returns 1 iff M computes a constant 0 or constant 1 function.

M - TM that is constant 0 function

M' - TM that returns 1 iff \exists a path in the graph from s to t



$$\text{CONST}(M) = 1$$

$$\text{CONST}(M') = 0$$

Rice's Theorem Example

Q, Q'

$$\forall x \in \{0,1\}^* \quad Q(x) = Q'(x)$$

$$\text{CONST}(Q) = \text{CONST}(Q')$$

Reduction

Steps to prove F is uncomputable:

1. Assume for contradiction that F is computable, so there exists program P that computes it.
2. Create program compHALT or compHALTONZERO, that using P computes HALT or HALTONZERO. Here you usually have to modify the input to P.
3. This implies that HALT or HALTONZERO is computable, which is a contradiction proved in the book.
4. Therefore F cannot be computable.

Reduction Example

Prove that ACCEPT is uncomputable. ACCEPT(P) returns 1 if P halts on any $\{0,1\}^*$ string and 0 otherwise.

1. Assume for contradiction that ACCEPT is computable, so there exists program E that computes it.
2. Create the program compHALT, that using E computes HALT.

compHALT(M, x):

1. Construct NAND-TM program M' :

runs M on x
returns 1 if M halted

2. return E(M')

Reduction Example

Prove that ACCEPT is uncomputable. ACCEPT(P) returns 1 if P halts on any $\{0,1\}^$ string and 0 otherwise.*

3. This implies that HALT is computable, which is a contradiction proved in the book.
4. Therefore ACCEPT cannot be computable.

$$E(M') = 1 \quad \Rightarrow \quad \text{HALT}(M, x) = 1.$$

$$E(M') = 0 \quad \Rightarrow \quad \text{HALT}(M, x) = 0$$

SAT

A *propositional formula* φ involves n variables x_1, \dots, x_n and the logical operators AND (\wedge), OR (\vee), and NOT (\neg). We say that such a formula is in *conjunctive normal form* (CNF for short) if it is an AND of ORs of variables or their negations. For example, this is a CNF formula

$$(x_7 \vee \neg x_{22} \vee x_{15}) \overset{\text{AND}}{\wedge} (x_{37} \vee x_{22}) \overset{\text{AND}}{\wedge} \underbrace{(x_{55} \vee \neg x_7)}_{\text{OR}}$$

The *satisfiability problem* is the task of determining, given a CNF formula φ , whether or not there exists a *satisfying assignment* for φ . A satisfying assignment for φ is a string $x \in \{0,1\}^n$ such that φ evaluates to *True* if we assign its variables the values of x .

2SAT

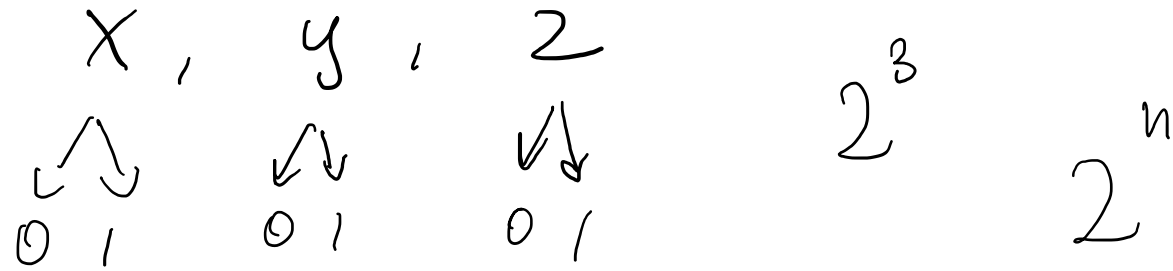
We say that a formula is a k -CNF if it is an AND of ORs where each OR involves exactly k literals. The k -SAT problem is the restriction of the satisfiability problem for the case that the input formula is a k -CNF.

In particular, the *2SAT problem* is to find out, given a 2-CNF formula φ , whether there is an assignment $x \in \{0,1\}^n$ that *satisfies* φ , in the sense that it evaluates to 1.

$$(x_1 \vee x_2) \wedge (x_1) \quad \Leftrightarrow \quad (x_1 \vee x_2) \wedge (x_1 \vee x_1)$$

2SAT in EXP

$$(\bar{x} \vee y) \wedge (\bar{y} \vee z) \wedge (x \vee \bar{z}) \wedge (z \vee y)$$



2SAT IN P

$$\frac{\bar{y} \vee z}{y \Rightarrow z}$$

$$y \Rightarrow z$$

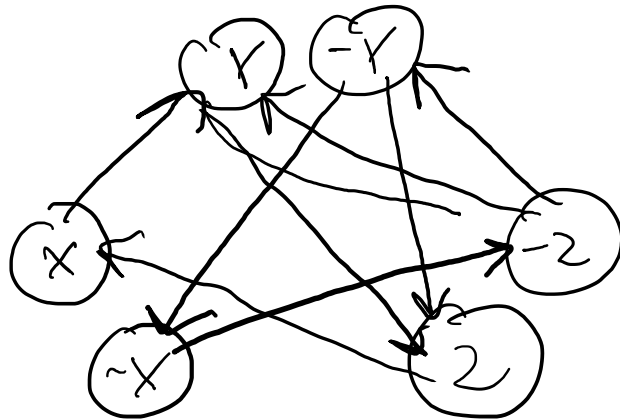
$$z \Rightarrow \bar{y}$$

$$\frac{x \vee \bar{y}}{x \Rightarrow \bar{y}}$$

$$x \Rightarrow \bar{y}$$

$$\bar{y} \Rightarrow x$$

$$(\bar{x} \vee y) \wedge (\bar{y} \vee z) \wedge (x \vee \bar{z}) \wedge (z \vee \bar{y})$$



$$\frac{a \vee b}{a \Rightarrow b}$$

$$a \Rightarrow b$$

$$b \Rightarrow a$$

$$z \vee y$$

$$\bar{z} \Rightarrow y$$

$$\bar{y} \Rightarrow \bar{z}$$

$$x \vee \bar{z}$$

$$\bar{x} \Rightarrow \bar{z}$$

$$z \Rightarrow x$$

2SAT IN P

$$x \Rightarrow \bar{x}$$

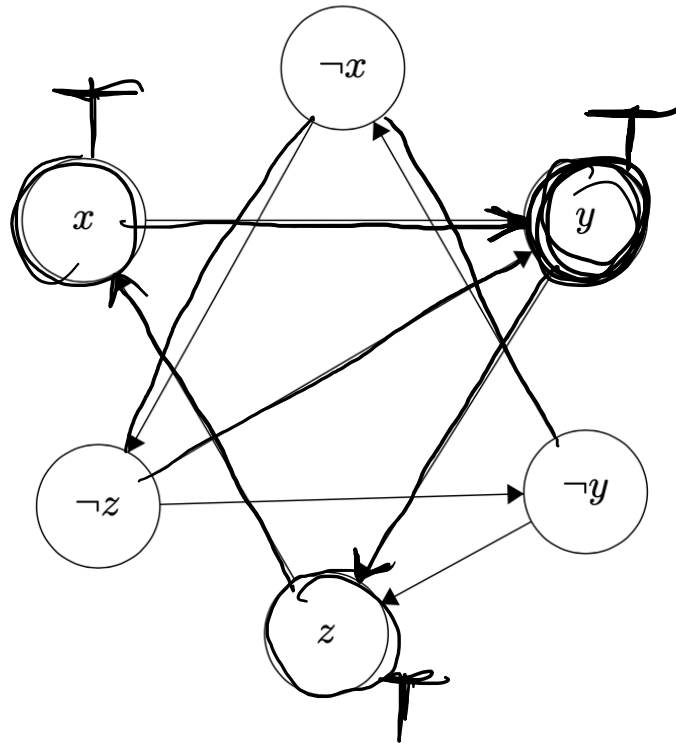
~~$x = \text{FALSE}$~~ ↘
↗

$$\bar{x} \Rightarrow x$$

$x = \text{TRUE}$

n $2n$
 m $2m$

$$O(n + m)$$

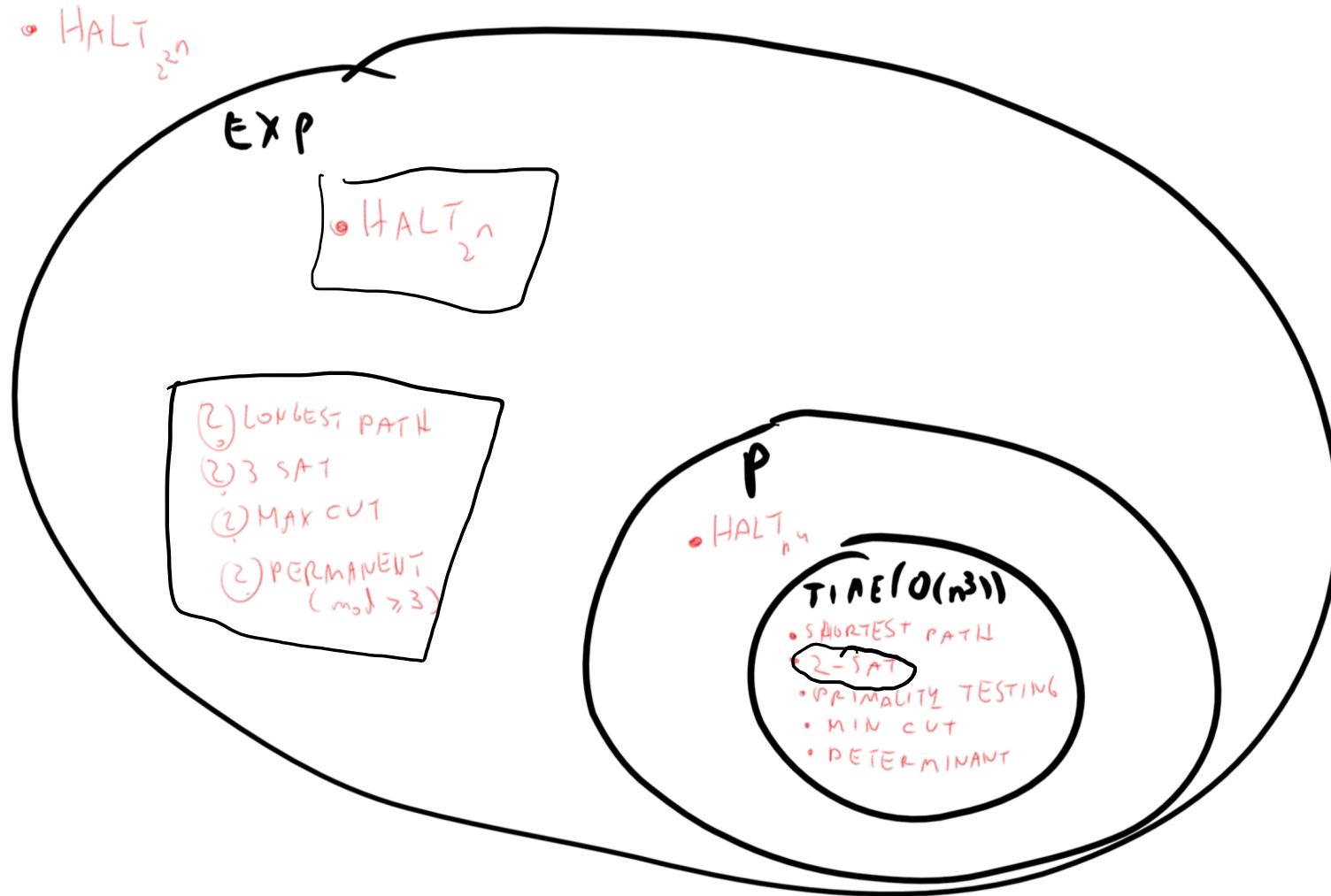


$$\bar{y} \Rightarrow y$$

~~$y = \text{TRUE}$~~

$y = x = z = \text{true}$

P is a subset of EXP!



Section problems

1. Function $F : \{0,1\}^* \rightarrow \{0, 1\}$ checks whether the input encodes a TM that, on every input for which it halts, outputs either a string with at most n 0s or a string with length at least n . Prove that F is uncomputable using Rice's theorem or state why Rice's theorem does not apply and show polynomial time algorithm.
2. Prove that if $F, G : \{0,1\}^* \rightarrow \{0, 1\}$ are in P then their composition $F \circ G$, which is the function H s.t. $H(x) = F(G(x))$, is also in P .
3. Prove or disprove: F is uncomputable. Let F be the following function. On input a (string representing a) pair (M, P) where M is a Turing Machine and P is a NAND-TM program, F outputs 1 if and only if M and P are functionally equivalent, in the sense that for every $x \in \{0,1\}^*$, either both M and P don't halt on x , or $M(x) = P(x)$.