

3/2/2017

# CS 229 - Lecture 12

## FOLDED REED-SOLOMON CODES

Intuition

Main Result today:

- Over  $\text{poly}(n)$ -sized alphabets, can get codes that have rate  $\rightarrow 1 - \epsilon$ , list decoding from  $\epsilon$ -fraction errors.

[Not  $\epsilon/2 \sim$  which Reed-Solomon does with unique decoding]

- More precisely

$\forall \epsilon > 0, \exists \delta > 0$   $\exists \text{poly } p()$  s.t.  $\forall n \exists$  codes of length  $n$ , over  $\Sigma$  with  $|\Sigma| = p(n)$ , of rate  $1 - \delta - \epsilon$ , list-decoding (in  $\text{poly}(n)$  time) from  $\delta$ -fraction errors

[ $|L| \leq p(n)$ ]

# Intuition / Motivation / Context

Welch-Berlekamp / List-decoding algorithm illustrate power of two variables.

"  $\forall$  subset of  $n$  points in plane  $\exists$  deg  $2\sqrt{n}$  polynomials vanishing on these points "

————— x —————

Things would be better if there were a third variable

$$2\sqrt{n} \rightsquigarrow 3n^{1/3}$$

How can we arrange this?

————— x —————

$$X \rightarrow \alpha_1 \alpha_2 \dots \alpha_n$$

$$Y \rightarrow \beta_1 \beta_2 \dots \beta_n$$

$$Z \rightarrow Z_1 \dots Z_n = ?$$

Maybe message = two polynomials  $(P_1, P_2)$   
Encoding = evaluation pairs  $((P_1(\alpha_i), P_2(\alpha_i)))^n$

1) But this can't help increase list-decoding radius...

2) One-poly-reconstruction  $\leq$  Two-poly-reconstruction  
[Set  $P_2(x_i) = 0 \quad \forall i$ ]

3) Even worse:  $Q(\quad)$  might give us information only about  $P_1$ , or only about  $P_2$  or only about relationship between  $P_1$  &  $P_2$

Examples

$$\left. \begin{matrix} x_1 \dots x_n \\ y_1 \dots y_n \\ 0 \dots 0 \end{matrix} \right\} \Rightarrow Q(x, y, z) = z$$

$$\left. \begin{matrix} x_1 \dots x_n \\ 0 \dots 0 \\ z_1 \dots z_n \end{matrix} \right\} \Rightarrow Q(x, y, z) = y$$

$$\left. \begin{matrix} x_1 \dots x_n \\ y_1 \dots y_n \\ y \dots y \end{matrix} \right\} Q(x, y, z) = z - y$$

How to avoid all these "trivial" statements.

Idea 1: [Parvaresh-Vardy 2005]

Relate  $P_1$  &  $P_2$  so that

- (i) Any information about one of them yields both.
- (ii) No ~~real~~ low-degree relation exists among them.

E.g.  $P_2 = P_1^N \pmod{f(x)}$

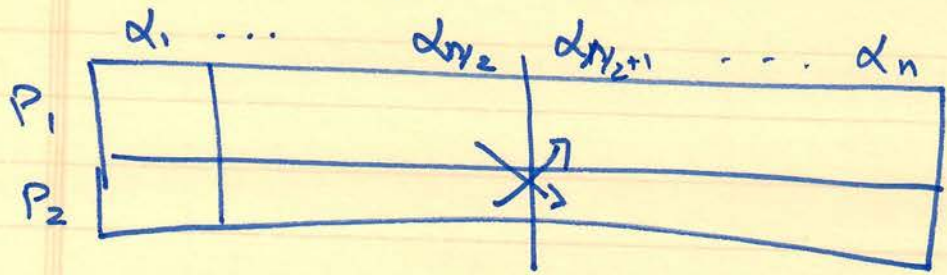
Good News: No immediate obstacles; indeed  
can prove that degree  $k$  poly recoverable  
from  $O(k^{2/3} N^{1/3})$  agreement.

Bad News: Rate  $\leq \frac{1}{2}$ !

[Encoding  $P_1$  twice  $\rightarrow$  first as  $P_1$   
 $\rightarrow$  then as  $P_1^D \pmod{f(x)}$ ]

Idea 2: [Guruswami-Rudra 2006]

Insist on an (impossible) miracle



insist that  $P_1(\alpha_i) = P_2(\alpha_{n-i})$

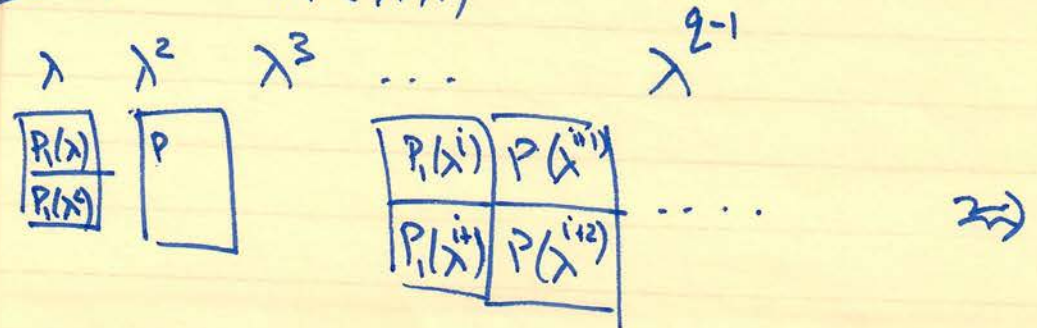
[Will lead to "trivial" relationship between  $P_1$  &  $P_2$ , but ignore this]

Good News: Rate  $\uparrow$  by 2.

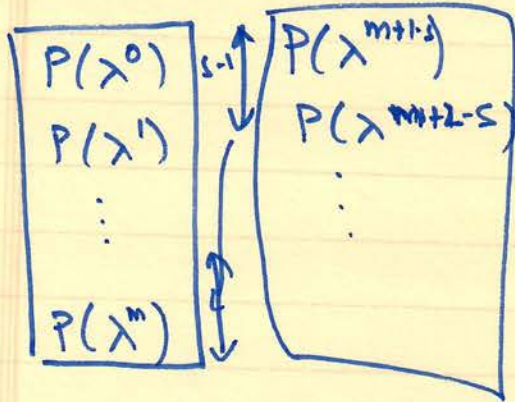
if second half = first half then just throw it away!

Key Impossible miracle

$P_2(x) = P_1(\lambda \cdot x)$   $\lambda \in \mathbb{F}_2$



(m, s) folded - RS-code

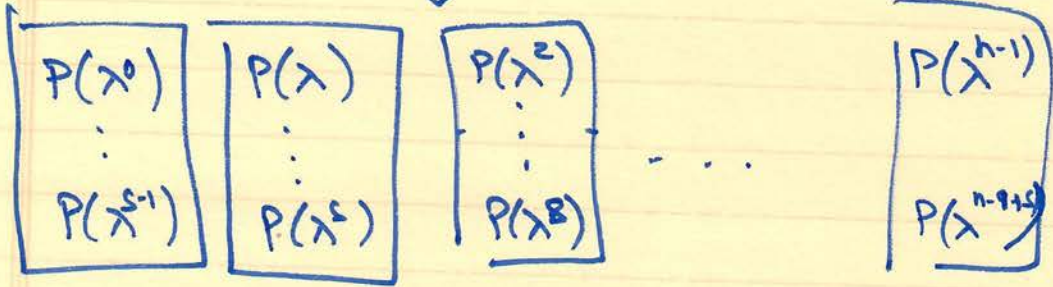


$$\text{Rate} = \left(1 - \frac{s}{n}\right) \left(\frac{k}{n}\right)$$

$$n = q - 1$$



Yields



fraction of errors preserved.

Decoding = ? . Guruswami-Rudra  $\sim k \frac{s-1}{s} n^{1/s}$

~~errors~~ . agreements

Guruswami  $\sim \frac{n}{s} + \left(\frac{s-1}{s}\right) \cdot k$  agreem

[Simpler]

Decoding Algorithm [s=2]

① Find  $A(x), B(x), C(x)$

$$\deg A \leq \frac{n}{3} + \frac{2k}{3}$$

$$\deg \frac{B}{C} \leq \frac{n}{3} - \frac{k}{3}$$

s.t.  $A(x_i) + y_i B(x_i) + z_i C(x_i) = 0 \quad \forall i$

$$(A, B, C) \neq (0, 0, 0)$$

Usual Claim: Exists (counting)  
& can be found (Linear system)

② Challenge: Find  $\overset{\text{all}}{P(x)} \in \mathbb{F}_2[x]^{<k}$  s.t.

$$A(x) + P(x) \cdot B(x) + \underbrace{P(\lambda \cdot x)}_{\substack{\uparrow \\ \text{Ugly}}} C(x) = 0$$

[But just linear system]

Instead will find  $P$  s.t.

$$A(x) + P(x) \cdot B(x) + P(\lambda \cdot x) C(x) = 0 \pmod{X^{2^l} - \lambda}$$

Claim 1:

\*  $P(\lambda x) = P(x)^q \pmod{x^{q-1} - \lambda}$

Claim 2:  $x^{q-1} - \lambda$  is irreducible if  $\lambda$  primitive in  $\mathbb{F}_q$ .

Claim 3:

#  $p$  s.t.  $A + p \cdot B + p^2 \cdot C = 0$

in  $\mathbb{K} = \mathbb{F}_q[x] / (x^{q-1} - \lambda)$

is at most  $q$ .

[So almost done: # solutions to (2) is at most  $q$ ; can we find them]

Claim 4: solutions can be found by factoring over  $\mathbb{K}$ ;

Claim 5: solutions can be found by solving linear system over  $\mathbb{F}_q$ .



Larger s :

- No different.

- set  $P_i(x) = P(\lambda^{i-1} x)$

$$P_i(x) = P(x)^{q^i} \pmod{x^{q-1} - \lambda}$$

⇒ So Step 1: find  $A_0, A_1, \dots, A_s$  s.t.

$$A_0(x_i) + \sum y_{ij} A_j(x_i) = 0 \quad \forall i$$

$$\deg A_0 \leq \frac{n}{s+1} + \frac{s}{s+1} k$$

$$\deg A_i \leq \frac{n}{s+1} - \frac{k}{s+1}$$

Step 2: Find  $P$  s.t.

$$A_0(x) + \sum_{j=1}^s P(\lambda^{j-1} x) \cdot A_j(x) = 0 \quad - \textcircled{\star}$$

List size Bounded by  $q^s$ .

since solution to  $\textcircled{\star}$  is also solution to

$$A_0 + P^j \cdot A_j = 0 \quad \text{in} \quad K = \mathbb{F}_q[x] / x^{q-1} - \lambda$$

State of art on list size

[Guruswami]: Use subset  $M \subseteq \mathbb{F}_q^k$  such that  $|M \cap A| \leq \text{poly}(s)$   $\forall$  ~~small~~  $s$ -dimensional <sup>affine</sup> subspaces in  $\mathbb{F}_q^k$ .

Encode only messages in  $M$ . [Reduces rate but by  $1-o(1)$  factor]

[Guruswami]: Random subset  $M$  is subspace evasive.

$\Rightarrow$  list size "small"; but run time  $\geq q^s$ .

[Dvir Lovett]: Explicit construction of  $M$ .

$\Rightarrow$  list size "SMALL"; but run time  $= o(q^s)$ .

## Conclusions

- Can get to rate  $1-\delta$  for  $\delta$ -fraction errors.
- Getting right list size, alphabet, explicitness ...  
still work in progress.
- Reducing Alphabet size = concatenation ++  
[Now we really need to learn graph theory.]
- Linearity: Very subtle concept?
  - GR codes are not linear !!
  - I don't know any linear capacity achieving codes.
  - [Ovir-Lovett] construction is linear subspace  $(\mathbb{F}_2)^n$  that is subspace erasure !! how?  
 $(\mathbb{F}_{2^k})^n$  ↑ see green