

# Universal *Semantic* Communication

Madhu Sudan  
MIT CSAIL

Joint work with Brendan Juba (MIT).

# An fantasy setting (SETI)



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No common language!  
Is meaningful  
communication possible?



Bob

What should Bob's response be?

If there are further messages, are they reacting to him?

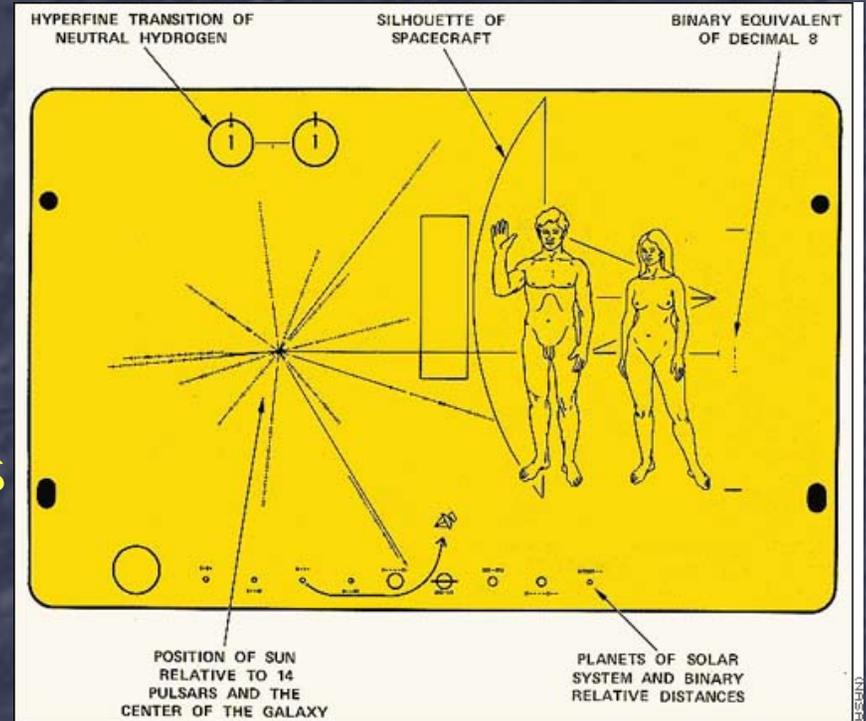
Is there an intelligent Alien (Alice) out there?

# Voyager's face plate

Why did they put this image?

What would you put?

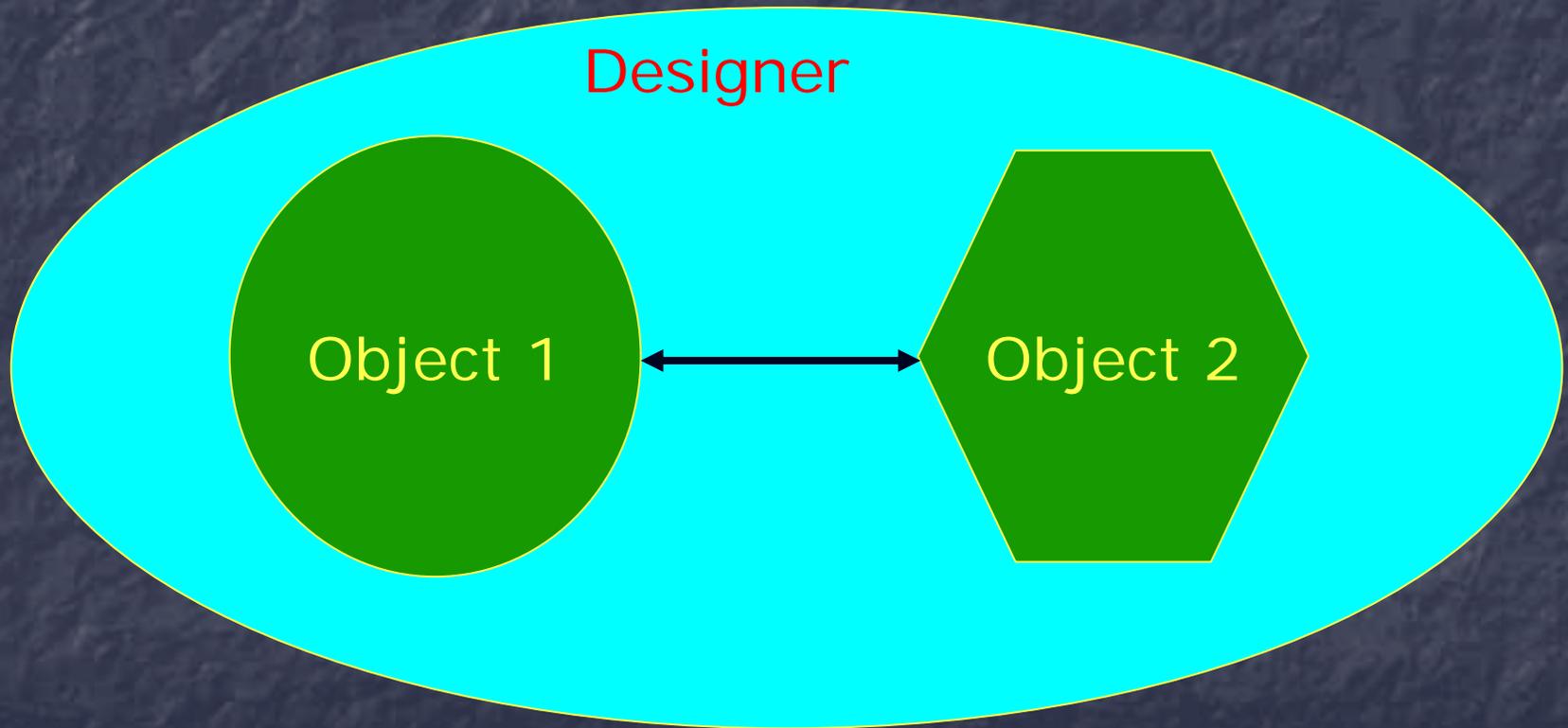
What are the assumptions and implications?



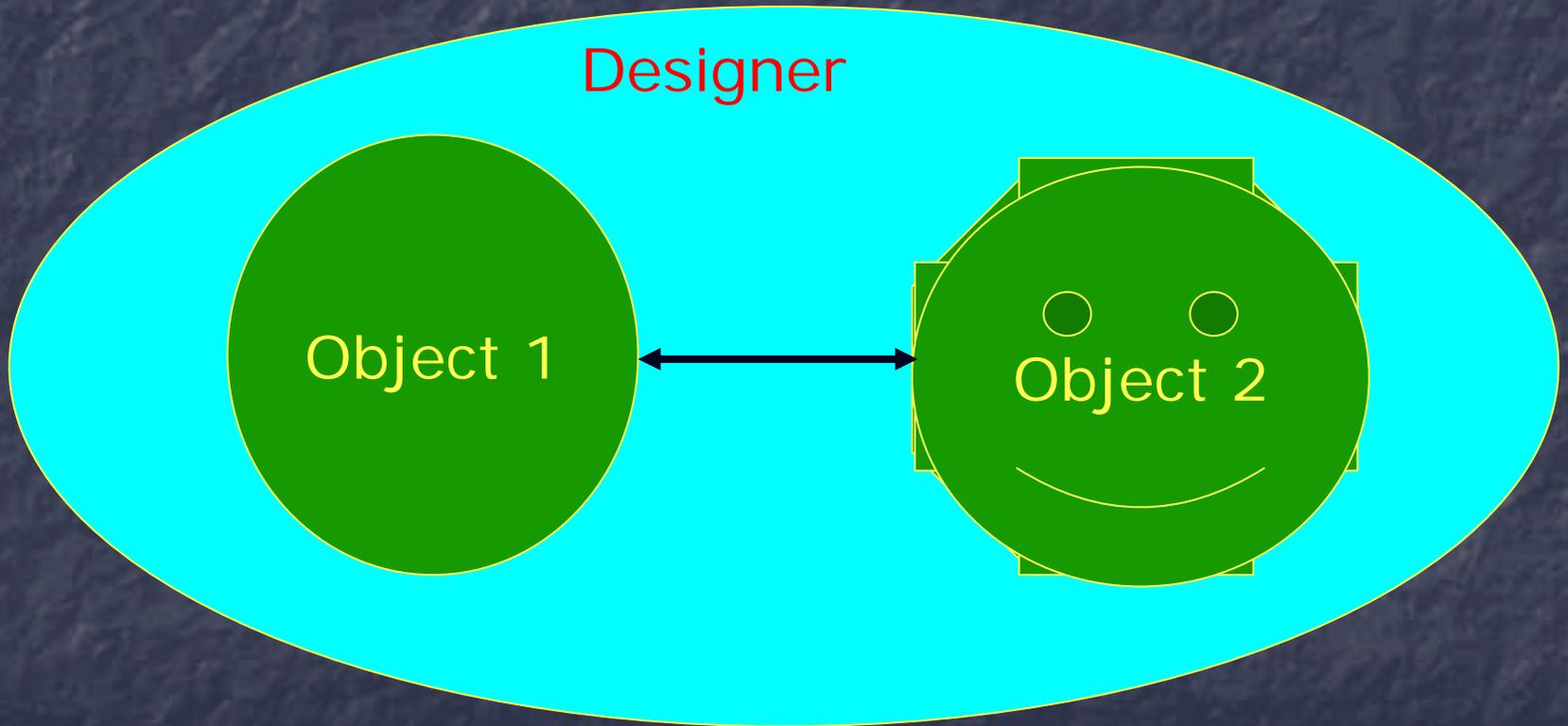
# Motivation: Better Computing

- Networked computers use common languages:
  - Interaction between computers (getting your computer onto internet).
  - Interaction between pieces of software.
  - Interaction between software, data and devices.
- Getting two computing environments to “talk” to each other is getting problematic:
  - time consuming, unreliable, insecure.
- Can we do this more like humans do?

# Classical Paradigm for interaction



# New paradigm



# Robust interfaces

- Want one interface for all “Object 2”s.
- Can such an interface exist?
- What properties should such an interface exhibit?
- Puts us back in the “Alice and Bob” setting.

# Goal of this talk

- Definitional issues and a definition:
  - What is successful communication?
  - What is intelligence? cooperation?
- Theorem: "If Alice and Bob are intelligent and cooperative, then communication is feasible" (in one setting)
- Proof ideas:
  - Suggest:
    - Protocols, Phenomena ...
    - Methods for proving/verifying intelligence

# A first attempt at a definition

- Alice and Bob are “universal computers” (aka programming languages)
- Have no idea what the other’s language is!
- Can they learn each other’s language?
  
- **Good News:** Language learning is finite. Can enumerate to find translator.
  
- **Bad News:** No third party to give finite string!
  - Enumerate? Can’t tell **right/wrong** ☹

# Communication & Goals

- Indistinguishability of **Right/Wrong**: Consequence of “communication without goal”.
- Communication (with/without common language) ought to have a “Goal”.
- Bob’s Goal:
  - **Verifiable**: Easily computable function of interaction;
  - **Complete**: Achievable with common language.
  - **Non-trivial**: Not achievable without Alice.

# **Part I: A Computational Goal**

# Computational Goal for Bob

- Bob wants to solve hard computational problem:
  - Decide membership of  $L$ .
- Can Alice help him?
- What kind of sets  $L$ ?
  - E.g.,  $L = \{\text{set of programs } P \text{ that are not viruses}\}$ .
  - $L = \{\text{non-spam email}\}$
  - $L = \{\text{winning configurations in Chess}\}$
  - $L = \{(A,B) \mid A \text{ has a factor less than } B\}$

# Setup

Which class  
of sets?

Bob

Alice

$x \in L?$

$R \leftarrow \text{\$}\text{\$}\text{\$}$

$q_1$

$a_1$

•

•

•

$q_k$

$a_k$

$G(x, R, a_1, \dots, a_k) = 1?$

# Intelligence & Cooperation?

- For Bob to have a non-trivial exchange Alice must be
  - Intelligent: Capable of deciding if  $x$  in  $L$ .
  - Cooperative: Must communicate this to Bob.

- Formally:

Alice is  $L$ -helpful

if  $\exists$  probabilistic poly time (ppt) Bob  $B'$  s.t.

$A \leftrightarrow B'(x)$  accept w.h.p. iff  $x \in L$ .

(independent of the history)

# Successful universal communication

- Bob should be able to talk to any  $L$ -helpful Alice and decide  $L$ .

- Formally,

Ppt  $B$  is  $L$ -universal if for every  $x \in \{0, 1\}^*$

–  $x \in L$  and  $A$  is  $L$ -helpful  $\Rightarrow (A \leftrightarrow B(x)) = 1$  (whp).

–  $(A \leftrightarrow B(x)) = 1$  whp  $\Rightarrow x \in L$ .

# Main Theorem

- - If  $L$  is PSPACE-complete,  
then there exists an  $L$  universal Bob.  
(Generalizes to many other languages in PSPACE.)
- - If there exists an  $L$  universal Bob  
then  $L$  is in PSPACE.
- In English:
  - If  $L$  is moderately stronger than what Bob can do on his own, then attempting to decide  $L$  leads to non-trivial conversation.
  - If  $L$  too strong, then leads to ambiguity.
  - Uses  $IP=PSPACE$  [LFKN,Shamir]

# Contrast with Interactive Proofs

- Similarity: Interaction between Alice and Bob.
- Difference: Bob does not trust Alice.  
(In our case Bob does not understand Alice).
- Famed (hard) theorem:  $IP = PSPACE$ .
  - Membership in  $L$  can be proved interactively to a ppt. Bob.
  - Needs a  $PSPACE$ -complete prover Alice.

# Few words about the proof

- Positive result: Enumeration + Interactive Proofs
  - Bob: Verifies  $x \in L$  by simulating IP verifier.
  - But needs to ask the IP Prover many questions
  - Translates into many other questions  $y \in L$
  - To get answers: Bob guesses Bob'
    - Simulates interaction between Alice and Bob'.

If  $x \in L$  and Bob' is correct, get a convincing proof.

If proof is convincing  $x \in L$ !

# Few words about the proof

- Positive result: Enumeration + Interactive Proofs
- Negative result:
  - Suppose Alice answers every question so as to minimize the conversation length.
    - Reasonable(?) misunderstanding.
  - Conversation comes to end quickly.
  - Bob has to decide.
  - Decision can be computed in PSPACE (since Alice's strategy can be computed in PSPACE).
  - Bob must be wrong if  $L$  is not in PSPACE.
  - **Warning:** Only leads to finitely many mistakes.

# Is this language learning?

- End result promises no language learning: Merely that Bob solves his problem.
- In the process, however, Bob learns Bob'
- But this may not be the right Bob'!
- All this is Good!
  - Should not attempt to distinguish indistinguishables!

## **Part II: Other Goals?**

# Goals of Communication

- Largely unexplored (at least explicitly)!
- Main categories
  - Remote Control:
    - Laptop wants to print on printer!
    - Buy something on Amazon
  - Intellectual Curiosity:
    - Listening to music, watching movies
    - Coming to this talk
    - Searching for alien intelligence

# Extending results to other goals

- **Generic Goal** (for Bob): efficiently computable predicate of
  - Private input, randomness
  - Interaction with Alice
  - Environment (Altered by actions of Alice)
- **Verifiability and non-triviality** of goal (should) imply **universal communication**.
- Models situations of **control**.

# How to model curiosity?

- How can Alice create non-trivial conversations?  
(when she is not more powerful than Bob)
  - Non-triviality of conversation depends on the ability to jointly solve a problem that Bob could not solve on his own.
  - But now Alice can't help either!
  - We are stuck?

# Cryptography to the rescue

- Alice can generate hard problems to solve, while knowing the answer.
  - E.g. "I can factor  $N$ ";
  - Later " $P * Q = N$ "
- If  $B'$  is intellectually curious, then he can try to factor  $N$  first on his own ... he will (presumably) fail. Then Alice's second sentence will be a "revelation" ...
- Non-triviality: Bob verified that none of the algorithms known to him, convert *his knowledge* into factors of  $N$ .

## More generally

- Alice can send Bob a Goal function.
  - Bob can try to find conversations satisfying the Goal.
  - If he fails (once he fails), Alice can produce conversations that satisfy the Goal.
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- Universal?

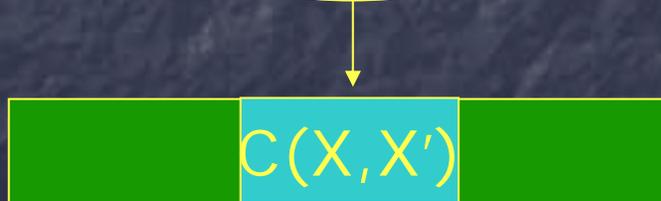
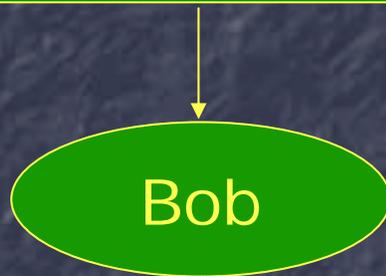
**Part III: Voyager Faceplate?  
Non-interactive proofs of intelligence?**

# Compression is universal

- When Bob receives Alice's string, he should try to look for a pattern (or "compress" the string).
- Universal efficient compression algorithm:
  - Input( $X$ );
  - Enumerate efficient pairs ( $C()$ ,  $D()$ );
  - If  $D(C(X)) \neq X$  then pair is **invalid**.
  - Among **valid** pairs, output the pair with smallest  $|C(X)|$ .

# Compression-based Communication

- As Alice sends her string to Bob, Bob tries to compress it.
- After  $n$  steps



Such phenomena can occur!

Surely suggest intelligence/comprehension?

# Summary

- Communication should strive to satisfy one's goals.
- If one does this "understanding" follows.
- Can enable understanding by dialog:
  - Laptop -> Printer: Print <file>
  - Printer: But first tell me
    - "If there are three oranges and you take away two, how many will you have?"
  - Laptop: One!
  - Printer: Sorry, we don't understand each other!
  - Laptop: Oh wait, I got it, the answer is "Two".
  - Printer: All right ... printing.

## Further work

- Criticism of computational setting:
  - PSPACE Alice?
  - Exponential time learning (enumerating Bob').
    - Necessary in our model.
- What are the goals of communication?
- What are assumptions needed to make language learning efficient?

Thank You!