

# Invariance in Property Testing

Madhu Sudan  
MIT

Joint work with Elena Grigorescu & Tali Kaufman.

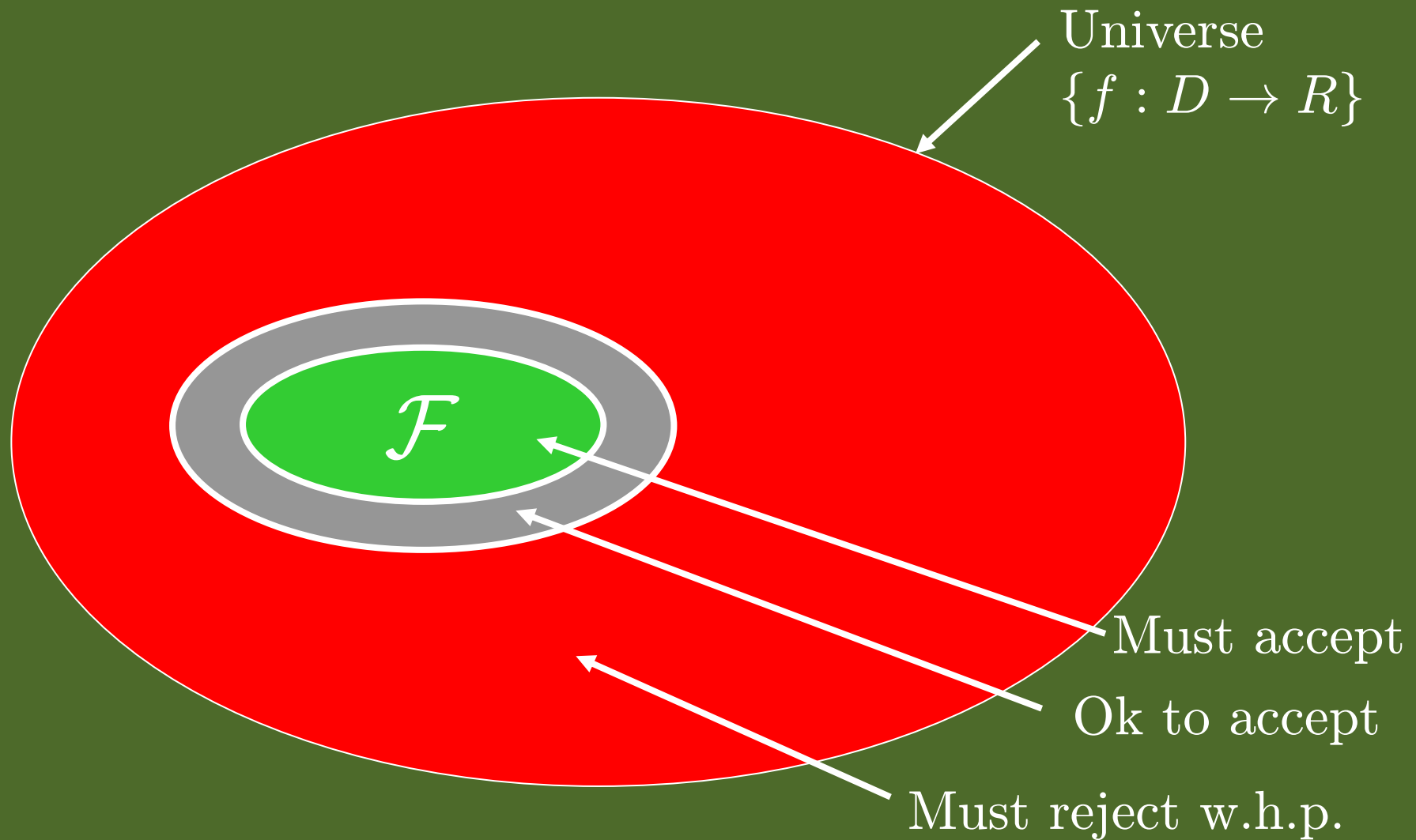
# Property Testing

- **Goal:** “Efficiently” determine if some “data” “essentially” satisfies some given “property”.
- **Formalism:**
  - **Data:**  $f : D \rightarrow R$  given as oracle  
 $D$  finite, but huge.  $R$  finite, possibly small
  - **Property:** Given by  $\mathcal{F} \subseteq \{f : D \rightarrow R\}$
  - **Efficiently:**  $o(D)$  queries into  $f$ . Even  $O(1)$ !
  - **Essentially:** **Must** accept if  $f \in \mathcal{F}$   
**Ok** to accept if  $f \approx g \in \mathcal{F}$ .

# Property Testing

- Distance:  $\delta(f, g) = \Pr_{x \in D}[f(x) \neq g(x)]$   
 $\delta(f, \mathcal{F}) = \min_{g \in \mathcal{F}} \{\delta(f, g)\}$   
 $f \approx_\epsilon g$  if  $\delta(f, g) \leq \epsilon$ .
- Definition:  
 $\mathcal{F}$  is  $(q, \alpha)$ -locally testable if  
 $\exists$  a  $q$ -query tester that  
accepts  $f \in \mathcal{F}$  with probability  $1 - \epsilon$   
rejects  $f \notin \mathcal{F}$  with probability  $\geq \alpha \cdot \delta(f, \mathcal{F})$ .
- Notes:  $q$ -locally testable implies  $\exists \alpha > 0$   
locally testable implies  $\exists q = O(1)$

# Property Testing (Pictorially)



# Example: Pre-election Polling

- Domain = Population  
Range =  $\{0, 1\}$
- Property:  $\mathcal{F}$  = functions with majority 1
- Essentially:  
Must reject w.h.p. if  $\Pr_{x \in D}[f(x) = 1] \leq 1/2 - \epsilon$
- Efficiency? Can test weakly with  $\tilde{O}(1/\epsilon^2)$  queries.  
Chernoff bounds.

# Modern Day Example: Testing Linearity

- Domain = Vector space  $\mathbb{F}_2^n$   
Range = Field  $\mathbb{F}_2$
- Property:  $\mathcal{F}$  = linear functions  
i.e.,  $\{f(x) = \langle a, x \rangle \mid a \in \mathbb{F}_2^n\}$
- Theorem [Blum, Luby, Rubinfeld '89]:  
Linearity is 3-locally testable.
- Test: Pick  $x, y \in \mathbb{F}_2^n$  uniformly.  
Accept iff  $f(x) + f(y) = f(x + y)$

# Property Testing: Abbreviated History

- Prehistoric: Statistical sampling
  - E.g., “Majority = 1?”
- Linearity Testing [BLR'90], Multilinearity Testing [Babai, Fortnow, Lund '91].
- Formal Definition: [Rubinfeld S'96]
- Graph/Combinatorial Property Testing [Goldreich, Goldwasser, Ron '96].
  - E.g., Is a graph “close” to being 3-colorable.
- Algebraic Testing [GLRSW,RS,FS,AKKLR,KR,JPSZ]
  - Is multivariate function a polynomial (of bounded degree).
- Graph Testing [Alon-Shapira, AFNS, Borgs et al.]
  - Characterizes graph properties that are testable.

# Quest for this talk

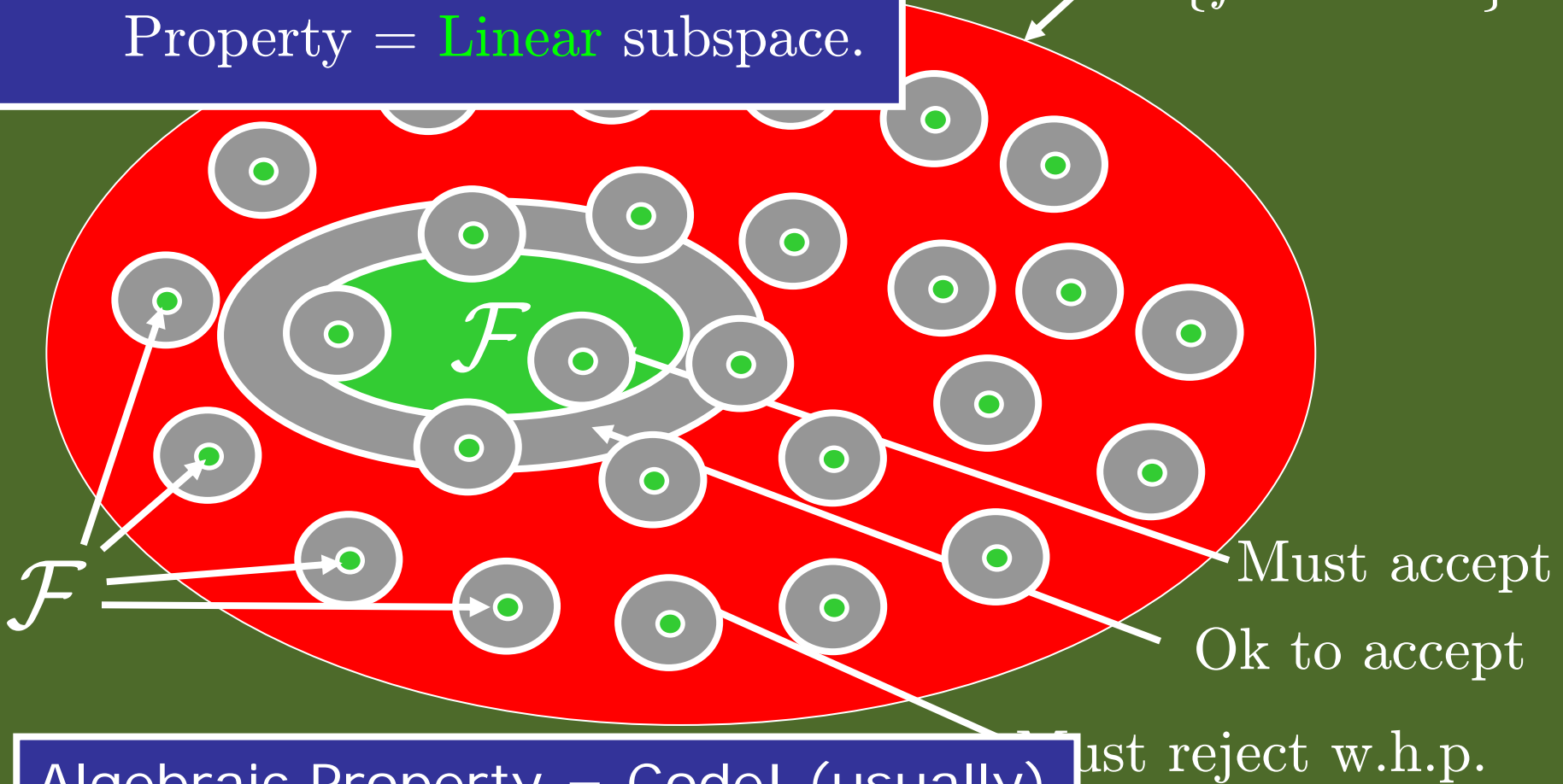
- What makes a property testable?
- In particular for algebraic properties:
  - **Current understanding:**
    - Low-degree multivariate functions are testable.
    - Different proofs for different cases.
      - Linear functions
      - Low-degree polynomials
      - Higher degree polynomials over  $\mathbb{F}_2$
      - Higher degree polynomials over other fields



# Contrast w. Combinatorial P.T.

(Also usually)  $R$  is a field  $\mathbb{F}$   
Property = Linear subspace.

Universe  
 $\{f : D \rightarrow R\}$



Algebraic Property = Code! (usually)

# Necessary Conditions for Testability

- One-sided error and testability:
  - Suppose  $f$  is rejected by a  $k$ -query 1-sided tester.  
Suppose queried points are  $x_1, \dots, x_k \in D$ .  
Let  $f(x_i) = \alpha_i$ .
  - Then for every function  $g \in \mathcal{F}$ ,  
 $\langle g(x_1), \dots, g(x_k) \rangle \neq \langle \alpha_1, \dots, \alpha_k \rangle$ .
- Constraint:  $C = \langle x_1, \dots, x_k \rangle; S \subsetneq R^k$   
 $g$  satisfies  $C$  if  $\langle g(x_1), \dots, g(x_k) \rangle \in S$   
 $\mathcal{F}$  satisfies  $C$  if every  $g \in \mathcal{F}$  satisfies  $C$ .
- Conclusion: Testability implies Constraints.

# Necessary Conditions for Testability

- One-sided error and testability:
  - Suppose  $f$  is rejected by a  $k$ -query 1-sided tester.  
Suppose queried points are  $x_1, \dots, x_k \in D$ .  
Let  $f(x_i) = \alpha_i$ .
  - Then for every function  $g \in \mathcal{F}$ ,  
 $\langle g(x_1), \dots, g(x_k) \rangle \neq \langle \alpha_1, \dots, \alpha_k \rangle$ .
- Constraint:  $C = \langle x_1, \dots, x_k \rangle; S \subsetneq R^k$   
 $g$  satisfies  $C$  if  $\langle g(x_1), \dots, g(x_k) \rangle \in S$   
 $\mathcal{F}$  satisfies  $C$  if every  $g \in \mathcal{F}$  satisfies  $C$ .
- Conclusion: Testability implies Constraints.

# Constraints, Characterizations, Testing

- Strong testing:

Every  $f \notin \mathcal{F}$  rejected by some  $k$ -local constraint.

Set of  $k$ -local constraints characterize  $\mathcal{F}$ .

$\exists C_1, \dots, C_m$  s.t.  $f \in \mathcal{F} \Leftrightarrow f$  satisfies  $C_j$  for every  $j$ .

- Conclusion: Testability  $\Rightarrow$  Local Characterizations.

- Example:

$f \subseteq \{\mathbb{F}_2^n \rightarrow \mathbb{F}_2\}$  is linear iff

for all  $x, y \in \mathbb{F}_2^n$ ,  $f$  satisfies  $C_{x,y}$  where

$C_{x,y} = \langle x, y, x + y \rangle; S = \{000, 011, 101, 110\}$ .

# Characterizations Sufficient?

- NO! [Ben-Sasson, Harsha, Raskhodnikova]
  - Random 3-locally characterized error-correcting codes (“Expander Codes”) are not  $o(D)$ -locally testable.
    - Property:
      - $D = [n]$ ;  $R = \{0, 1\}$ ;
      - $\mathcal{F}$  = set of functions that satisfy some random 3-ary  $\mathbb{F}_2$ -linear constraints.
- **Criticism:** Random constraints too “asymmetric”.
- Perhaps should consider more “symmetric” properties.

# Invariance & Property testing

- Invariances (Automorphism groups):

For permutation  $\pi : D \rightarrow D$ ,  $\mathcal{F}$  is  $\pi$ -invariant if  
 $f \in \mathcal{F}$  implies  $f \circ \pi \in \mathcal{F}$ .

$$\text{Aut}(\mathcal{F}) = \{\pi \mid \mathcal{F} \text{ is } \pi\text{-invariant}\}$$

Forms group under composition.

- **Hope:** If Automorphism group is “large” (or “nice”), then property is testable.

# Examples

- **Majority:**
  - **Aut** group =  $S_D$  (full group).
  - Easy Fact: If  $\text{Aut}(\mathcal{F}) = S_D$  then  $\mathcal{F}$  is  $\text{poly}(R, 1/\epsilon)$ -locally testable.
- **Graph Properties:**
  - Aut. group given by renaming of vertices
  - [AFNS, Borgs et al.] implies *regular* properties with this **Aut** group are testable.
- **Statistical Properties:** Closed under every permutation of domain and range.
- **Algebraic Properties:** What symmetries do they have? **Will focus on this today.**

# Algebraic Properties & Invariances

- Properties:

$D = \mathbb{F}^n$ ,  $R = \mathbb{F}$  (Linearity, Low-degree, Reed-Muller)

Or  $D = \mathbb{K} \supseteq \mathbb{F}$ ,  $R = \mathbb{F}$  (Dual-BCH) ( $\mathbb{K}, \mathbb{F}$  finite fields)

- Automorphism groups?

Linear transformations of domain.

$\pi(x) = Ax$  where  $A \in \mathbb{F}^{n \times n}$  (Linear-Invariant)

- Additional restriction: Linearity

$f, g \in \mathcal{F}$  and  $\alpha, \beta \in \mathbb{F}$  implies  $\alpha f + \beta g \in \mathcal{F}$

- Question: Are Linear, Linear-Invariant, Locally Characterized Properties Testable?



# Linear-Invariance & Testability

- **Question:** Are Linear, Linear-Invariant, Locally Characterized Properties Testable?
  - **Why?**
    - Unifies previous results on Prop. Testing.
    - (Will show it also is non-trivial extension)
    - Nice family of 2-transitive group of symmetries.
    - **Conjecture** [Alon, Kaufman, Krivelevich, Litsyn, Ron] : Linear code with  $k$ -local constraint and 2-transitive group of symmetries must be testable.

# Our Results

- Theorem 1:  $\mathcal{F} \subseteq \{\mathbb{K}^n \rightarrow \mathbb{F}\}$  linear, linear-invariant,  $k$ -locally characterized implies  $\mathcal{F}$  is  $f(\mathbb{K}, k)$ -locally testable.
- Theorem 2:  $\mathcal{F} \subseteq \{\mathbb{K}^n \rightarrow \mathbb{F}\}$  linear, *affine*-invariant, has  $k$ -local *constraint* implies  $\mathcal{F}$  is  $f(\mathbb{K}, k)$ -locally testable.
- Other stuff:
  - Study of Linear-invariant Properties.
  - Counterexample to AKLR conjecture.

# Linear Invariant Properties

# Examples of Linear-Invariant Families

- Polynomials in  $\mathbb{F}[x_1, \dots, x_n]$  of degree at most  $d$
- Traces of Poly in  $\mathbb{K}[x_1, \dots, x_n]$  of degree at most  $d$
- (Traces of) Homogenous polynomials of degree  $d$
- $\mathcal{F}_1 + \mathcal{F}_2$ , where  $\mathcal{F}_1, \mathcal{F}_2$  are linear-invariant.  
Polynomials supported by degree 2, 3, 5, 7 monomials.

# What Dictates Locality of Characterizations?

- Precise locality not yet understood:
  - Depends on  $p$ -ary representation of degrees.
  - Example:  $\mathcal{F}$  supported by monomials  $x^{p^i + p^j}$  behaves like degree two polynomial
- For affine-invariant family dictated (coarsely) by highest degree monomial in family
- For some linear-invariant families, can be *much* less than the highest degree monomial.

Example:  $\mathbb{K} = \mathbb{F} = \mathbb{F}_7$ ;  $\mathcal{F} = \mathcal{F}_1 + \mathcal{F}_2$

$\mathcal{F}_1 =$  poly of degree at most 16

$\mathcal{F}_2 =$  poly supported on monomials of degree  $3 \pmod{6}$ .

Degree( $\mathcal{F}$ ) =  $\Omega(n)$ ; Locality( $\mathcal{F}$ )  $\leq 49$ .

# Analysis Ingredients

- Monomial Extraction:

E.g.,  $xy^2 + xyz + x^4 \in \mathcal{F}$  implies  $xyz \in \mathcal{F}$

- Monomial Spread:

$x^5 \in \mathcal{F}$  implies  $x^4y, x^3y^2$  also in  $\mathcal{F}$  (if  $\text{char}(\mathbb{F})$  large)

Suffices for affine-invariant families.

For linear-invariant families, need to define the right parameter and bound locality weakly in terms of it.

# Local Testing

# Key Notion: Single Orbit Property

- $\mathcal{F}$  has **single orbit property** if
  - $\exists$  a *single* constraint  $C = (\langle x_1, \dots, x_k \rangle, S)$  such that  $\{C \circ \pi\}_{\pi \in \text{Aut}(\mathcal{F})}$  characterize  $\mathcal{F}$ .
- Single orbit property applies to all known algebraic properties, possibly with the exception of BCH codes.

Theorem: Every linear invariant  $\mathcal{F}$  with a  $k$ -local characterization, has the single orbit property under some  $f(k, \mathbb{K})$ -local constraint

Theorem: If  $\mathcal{F}$  has single orbit property with a  $k$ -local constraint (with some restrictions) then it is  $k$ -locally testable.



# BLR (and our) analysis

# The tests

- **BLR:** Pick  $x, y \in_R \mathbb{F}^n$  and check
$$f(x) + f(y) = f(x + y)$$

Need to show:

$$\exists g \text{ s.t. } \delta(f, g) \leq C \cdot \Pr_{x,y}[f(x) + f(y) \neq f(x + y)]$$

- **Ours:**  $\mathcal{F}$  given by  $x_1, \dots, x_k; V$

Pick linear/affine  $L : \mathbb{K}^n \rightarrow \mathbb{K}^n$  at random

Verify  $\langle f(L(x_1)), \dots, f(L(x_k)) \rangle \in V$

Need to show  $\exists g \in \mathcal{F}$  s.t.

$$\delta(f, g) \leq C \cdot \Pr_L[\langle f(L(x_1)), \dots, f(L(x_k)) \rangle \notin V]$$

# BLR Analysis: Outline

- Have  $f$  s.t.  $\Pr_{x,y}[f(x) + f(y) \neq f(x+y)] = \delta < 1/20$ .  
Want to show  $f$  close to some  $g \in \mathcal{F}$ .
- Define  $g(x) = \text{most likely}_y \{f(x+y) - f(y)\}$ .
- If  $f$  close to  $\mathcal{F}$  then  $g$  will be in  $\mathcal{F}$  and close to  $f$ .
- But if  $f$  not close?  $g$  may not even be uniquely defined!
- Steps:
  - Step 0: Prove  $f$  close to  $g$
  - Step 1: Prove *most likely* is overwhelming majority.
  - Step 2: Prove that  $g$  is in  $\mathcal{F}$ .

## BLR Analysis: Step 0

- Define  $g(x) = \text{most likely } y \{f(x + y) - f(y)\}$ .

Claim:  $\Pr_x[f(x) \neq g(x)] \leq 2\delta$

– Let  $B = \{x \mid \Pr_y[f(x) \neq f(x + y) - f(y)] \geq \frac{1}{2}\}$

–  $\Pr_{x,y}[\text{linearity test rejects} \mid x \in B] \geq \frac{1}{2}$

$\Rightarrow \Pr_x[x \in B] \leq 2\delta$

– If  $x \notin B$  then  $f(x) = g(x)$

$\text{Vote}_x(y)$

## BLR Analysis: Step 1

- Define  $g(x) = \text{most likely } y \{f(x + y) - f(y)\}$ .
- Suppose for some  $x$ ,  $\exists$  two equally likely values.  
Presumably, only one leads to linear  $x$ , so which one?
- If we wish to show  $g$  linear,  
then need to rule out this case.

**Lemma:**  $\forall x, \Pr_{y,z}[\text{Vote}_x(y) \neq \text{Vote}_x(z)] \leq 4\delta$

$\text{Vote}_x(y)$

## BLR Analysis: Step 1

- Define  $g(x) = \text{most likely } y \{f(x + y) - f(y)\}$ .
- Suppose for some  $x$ ,  $\exists$  two equally likely values.  
Presumably, only one leads to linear  $x$ , so which one?
- If we wish to show  $g$  linear,  
then need to rule out this case.

**Lemma:**  $\forall x, \Pr_{y,z}[\text{Vote}_x(y) \neq \text{Vote}_x(z)] \leq 4\delta$







## BLR Analysis: Step 2 (Similar)

Lemma: If  $\delta < \frac{1}{20}$ , then  $\forall x, y, g(x) + g(y) = g(x + y)$

$g(x)$	$g(y)$	$-g(x + y)$	Prob. Row/column sum non-zero $\leq 4\delta$ .	
$f(z)$	$f(y + z)$	$-f(y + 2z)$		←
$-f(x + z)$	$-f(2y + z)$	$f(x + 2y + 2z)$		←

# Our Analysis: Outline

- $f$  s.t.  $\Pr_L[\langle f(L(x_1)), \dots, f(L(x_k)) \rangle \in V] = \delta \ll 1$ .
- Define  $g(x) = \alpha$  that maximizes
$$\Pr_{\{L|L(x_1)=x\}}[\langle \alpha, f(L(x_2)), \dots, f(L(x_k)) \rangle \in V]$$
- Steps:
  - Step 0: Prove  $f$  close to  $g$
  - Step 1: Prove “most likely” is overwhelming majority.
  - Step 2: Prove that  $g$  is in  $\mathcal{F}$ .

# Our Analysis: Outline

- $f$  s.t.  $\Pr_L[\langle f(L(x_1)), \dots, f(L(x_k)) \rangle \in V] = \delta \ll 1$ .

- Define  $g(x) = \alpha$  that maximizes

$$\Pr_{\{L|L(x_1)=x\}}[\langle \alpha, f(L(x_2)), \dots, f(L(x_k)) \rangle \in V]$$

- Steps:

- Step 0: Prove  $f$  close to  $g$

- Step 1: Prove “most likely” is overwhelming majority.

- Step 2: Prove that  $g$  is in  $\mathcal{F}$ .

Same as before

$\text{Vote}_x(L)$

## Matrix Magic?

- Define  $g(x) = \alpha$  that maximizes

$$\Pr_{\{L \mid L(x_1) = x\}} [\langle \alpha, f(L(x_2)), \dots, f(L(x_k)) \rangle \in V]$$

**Lemma:**  $\forall x, \Pr_{L,K} [\text{Vote}_x(L) \neq \text{Vote}_x(K)] \leq 2(k-1)\delta$

$x$	$L(x_2)$	$\dots$	$L(x_k)$
$K(x_2)$			
$\vdots$			
$K(x_k)$			

# Matrix Magic?

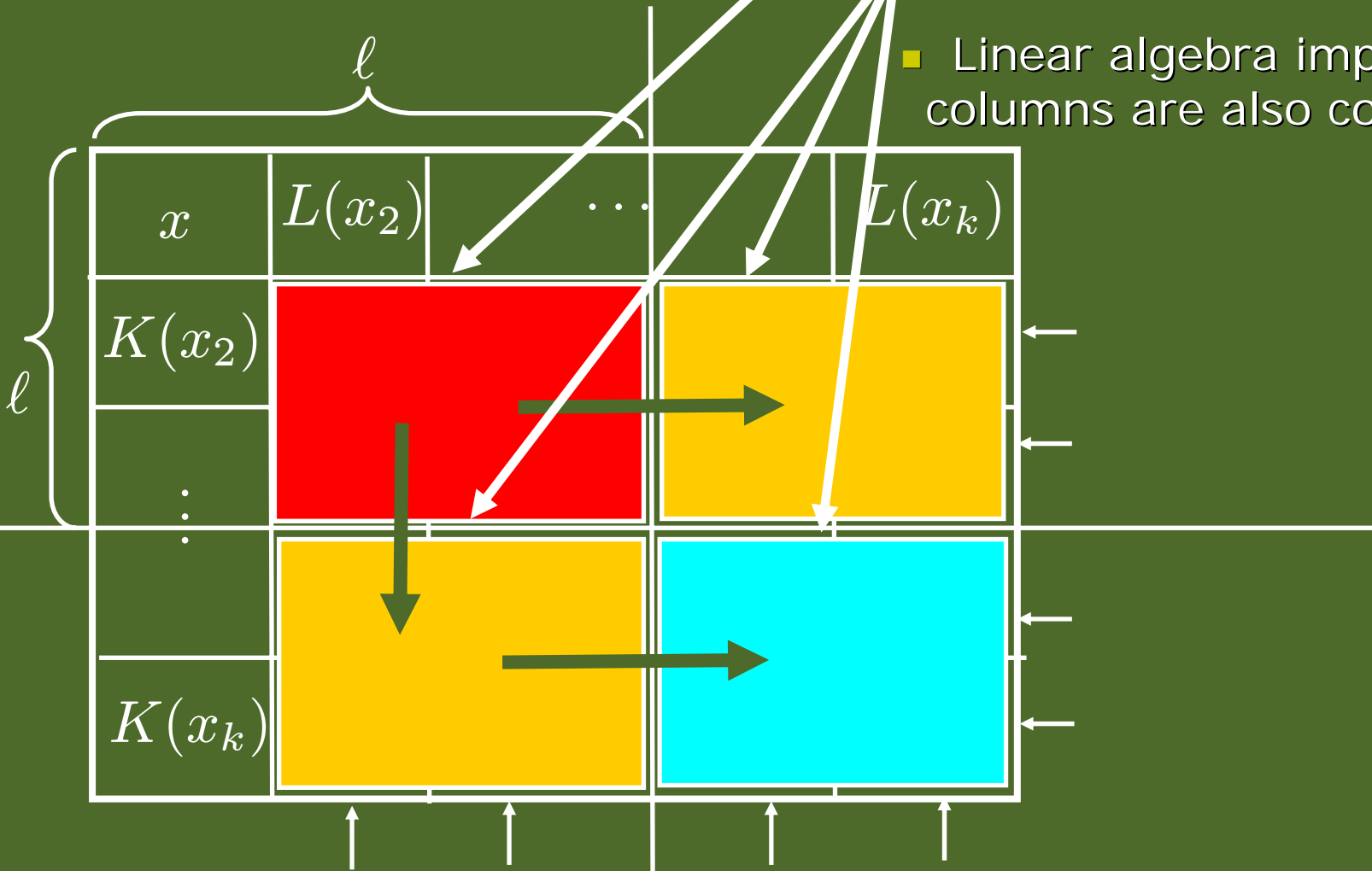
$x$	$L(x_2)$	$\dots$	$L(x_k)$	
$K(x_2)$				←
$\vdots$				←
$K(x_k)$				←

↑ ↑ ↑ ↑

- Want marked rows to be random constraints.
- Suppose  $x_1, \dots, x_\ell$  linearly independent; and rest dependent on them.

# Matrix Magic?

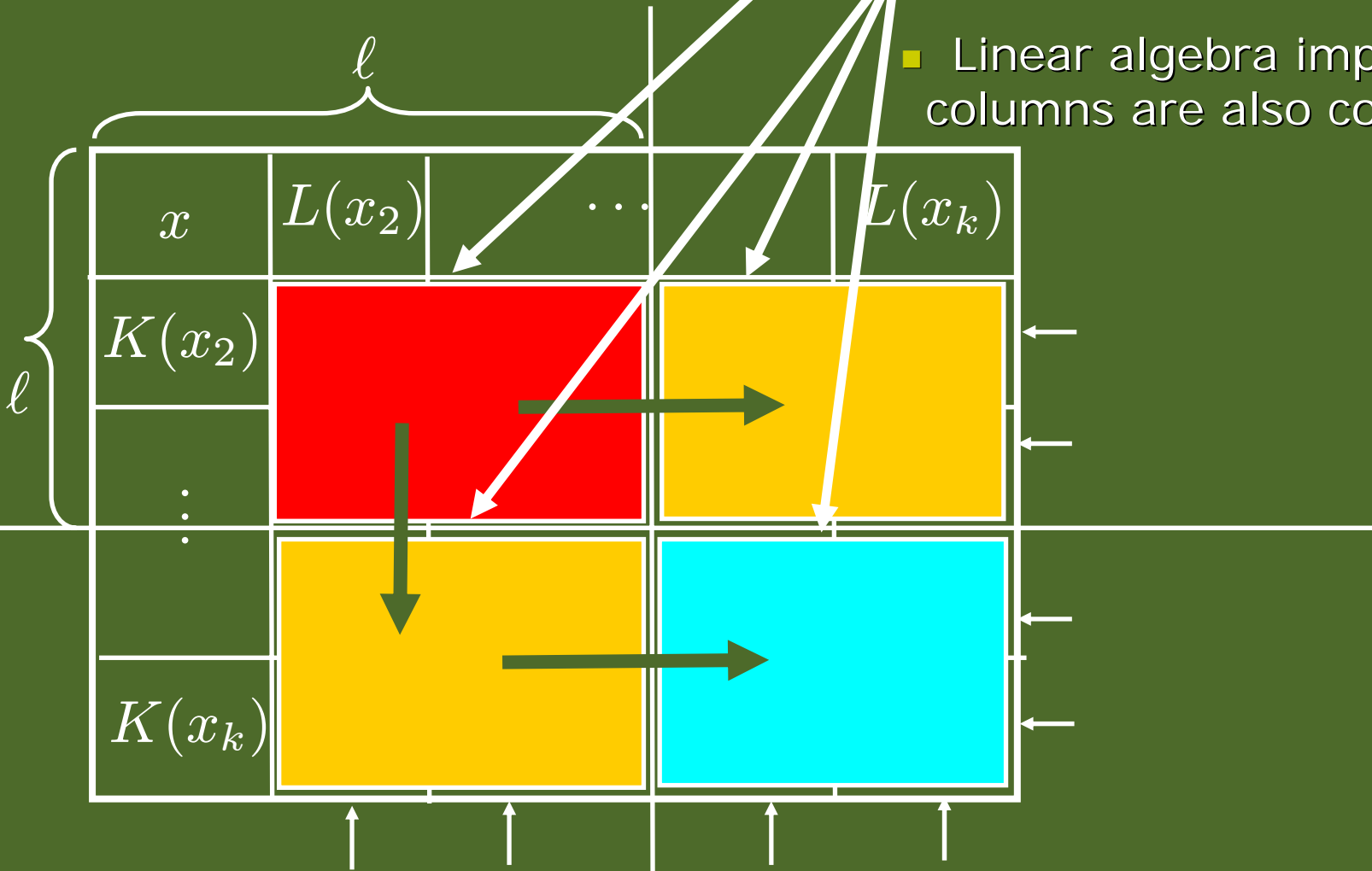
- Fill with random entries
- Fill so as to form constraints
- Linear algebra implies final columns are also constraints.



- Suppose  $x_1, \dots, x_\ell$  linearly independent; and rest dependent on them.

# Matrix Magic?

- Fill with random entries
- Fill so as to form constraints
- Linear algebra implies final columns are also constraints.



- Suppose  $x_1, \dots, x_\ell$  linearly independent; and rest dependent on them.

# Conclusions

- Invariance is important in property testing.
- Linear-invariance suffices to explain many algebraic tests (and shows some new ones).
- Future work: What are other invariances that lead to testability (from characterizations)?