

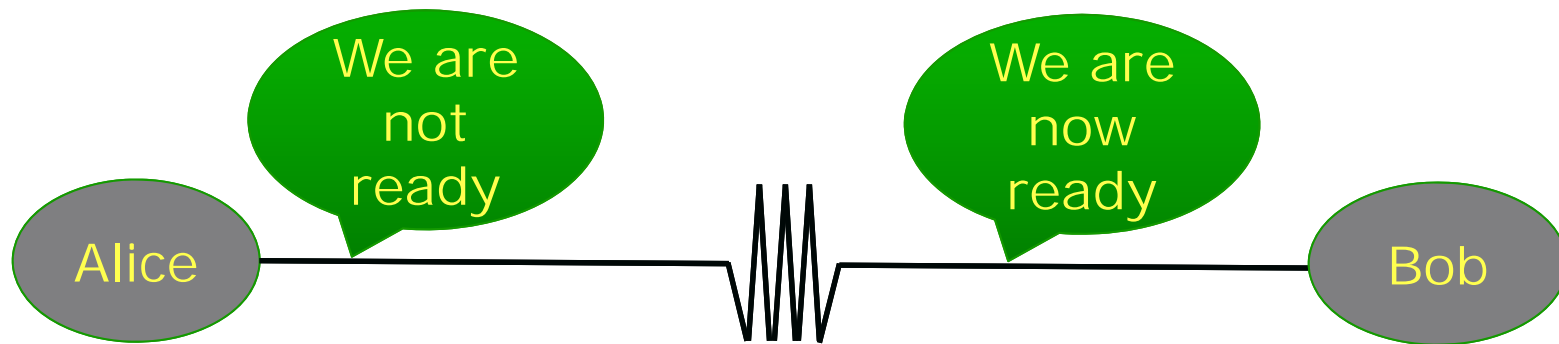
# Reliable Meaningful Communication

**Madhu Sudan**

Microsoft, Cambridge, USA

# Reliable Communication?

- Problem from the 1940s: Advent of digital age.



- Communication media are always noisy
  - But digital information less tolerant to noise!

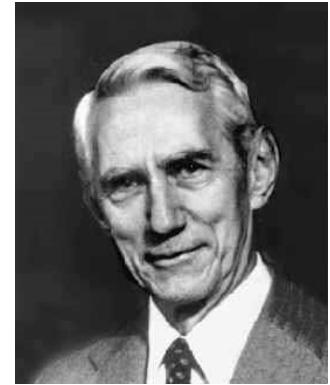
# Coding by Repetition

- Can repeat (every letter of) message to improve reliability:

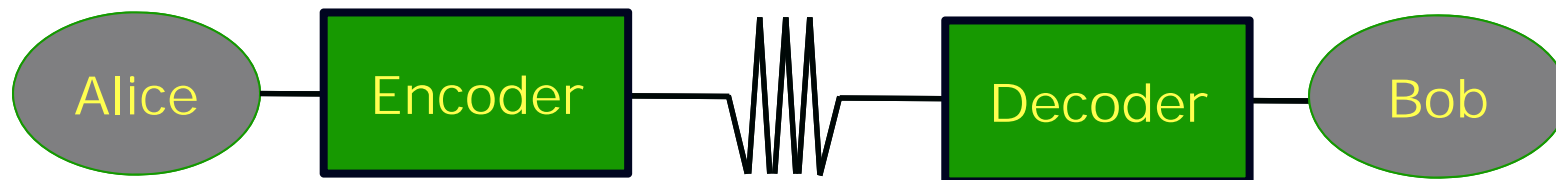
WWW EEE    AAA RRR EEE    NNN OOO WWW ...  
↓  
WXW EEA    ARA SSR EEE    NMN OOP WWW ...

- Calculations:
  - $t$  repetitions  $\Rightarrow$  Prob. Single symbol corrupted  $\approx 2^{-t}$
  - To transmit  $k$  symbols, choose  $t \approx \log k$
  - Rate of transmission =  $\frac{1}{\log k} \rightarrow 0$  as  $k \rightarrow \infty$
  - Belief (pre-1940s): Rate of *any* scheme  $\rightarrow 0$  as  $k \rightarrow \infty$

# Shannon's Theory [1948]



- Sender "Encodes" before transmitting
- Receiver "Decodes" after receiving



- Encoder/Decoder arbitrary functions.

$$E: \{0,1\}^k \rightarrow \{0,1\}^n$$

$$D: \{0,1\}^n \rightarrow \{0,1\}^k$$

- Rate =  $\frac{k}{n}$ ;
- Requirement:  $m = D(E(m) + \text{error})$  w. high prob.
- What are the best  $E, D$  (with highest Rate)?

# Shannon's Theorem

- If every bit is flipped with probability  $p$

- Rate  $\rightarrow 1 - H(p)$  can be achieved.

$$H(p) \triangleq p \log_2 \frac{1}{p} + (1 - p) \log_2 \frac{1}{1-p}$$

- This is best possible.

- Examples:

- $p = 0 \Rightarrow \text{Rate} = 1$

- $p = \frac{1}{2} \Rightarrow \text{Rate} = 0$

- Monotone decreasing for  $p \in (0, \frac{1}{2})$

- Positive rate for  $p = 0.4999$  ; even if  $k \rightarrow \infty$

# Challenges post-Shannon

- Encoding/Decoding functions not “constructive”.
  - Shannon picked  $E$  at random,  $D$  brute force.
  - Consequence:
    - $D$  takes time  $\sim 2^k$  to compute (on a computer).
    - $E$  takes time  $2^{2^k}$  to find!
- Algorithmic challenge:
  - Find  $E, D$  more explicitly.
  - Both should take time  $\sim k, k^2, k^3 \dots$  to compute

# Explicit Codes: Reed-Solomon Code

- Messages = Coefficients of Polynomials.
  - Example:
    - Message = (100,23,45,76)
    - Think of polynomial  $p(x) = 100 + 23x + 45x^2 + 76x^3$
    - Encoding:  $(p(1), p(2), p(3), p(4), \dots, p(n))$
    - First four values suffice, rest is redundancy!
  - (Easy) Facts:
    - Any  $k$  values suffice where  $k =$  length of message.
    - Can handle  $n - k$  erasures or  $(n - k)/2$  errors.
    - Explicit encoding ✓
    - Efficient decoding? [Peterson 1960]

# More Errors? List Decoding

- Why was  $(n - k)/2$  the limit for #errors?
  - $\frac{n}{2}$  is clearly a limit – right?
    - First half = evaluations of  $p_1$
    - Second half = evaluations of  $p_2$
    - What is the right message:  $p_1$  or  $p_2$ ?
- $\frac{n}{2}$  (even  $\frac{n-k}{2}$ ) is the limit for “unique” answer.
- List-decoding: Generalized notion of decoding.
  - Report (small) list of possible messages.
  - Decoding “successful” if list contains the message polynomial.



# Reed-Solomon List-Decoding Problem

- Given:
  - Parameters:  $n, k, t$
  - Points:  $(x_1, y_1), \dots, (x_n, y_n)$  in the plane  
(finite field actually)
- Find:
  - All degree  $k$  poly's that pass thru  $t$  of  $n$  points
    - i.e., all  $p$  s.t.
      - $\deg(p) < k$
      - $\#\{i \mid p(x_i) = y_i\} \geq t$
- $t \geq \frac{(n+k)}{2}$ : Answer unique; [Peterson 60] finds it.
- [S. 96, Guruswami+S. '98]:  $t \geq \sqrt{kn}$ ; small list

# Decoding by example + picture [S'96]

$$n = 14; k = 1; t = 5$$

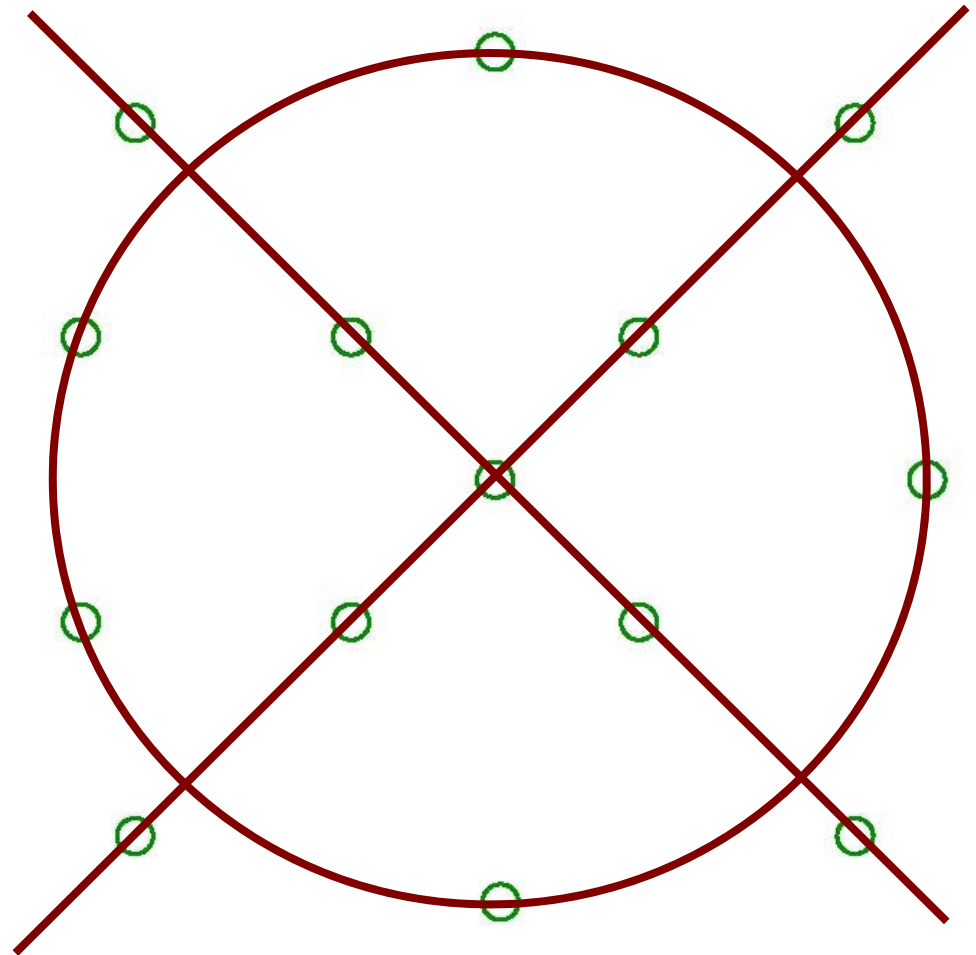
Algorithm idea:

- Find algebraic explanation of all points.

$$x^4 - y^4 - x^2 + y^2 = 0$$

- Stare at the solution ☺  
(factor the polynomial)

$$(x + y)(x - y)(x^2 + y^2 - 1)$$



# Decoding by example + picture [S'96]

$$n = 14; k = 1; t = 5$$

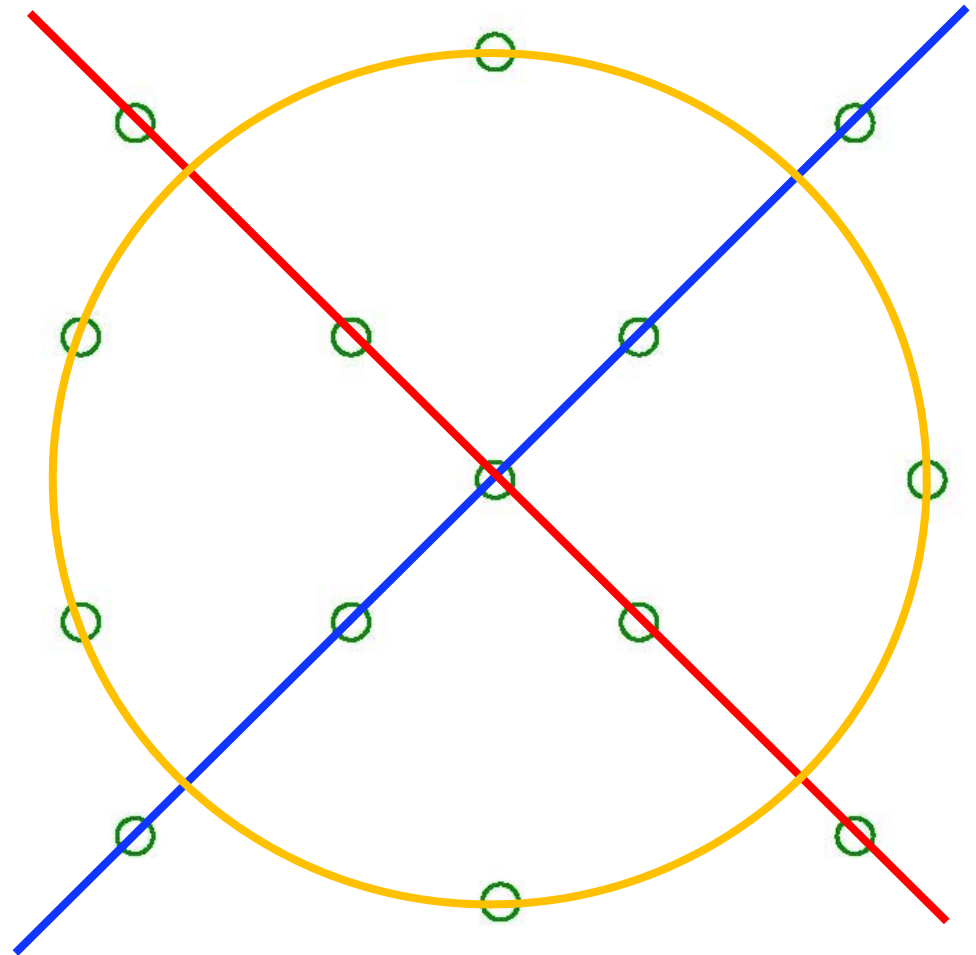
Algorithm idea:

- Find algebraic explanation of all points.

$$x^4 - y^4 - x^2 + y^2 = 0$$

- Stare at the solution ☺  
(factor the polynomial)

$$(x + y) (x - y) (x^2 + y^2 - 1)$$



# Decoding Algorithm

- Fact: There is always a degree  $2\sqrt{n}$  polynomial thru  $n$  points
  - Can be found in polynomial time (solving linear system).
- [80s]: Polynomials can be factored in polynomial time [Grigoriev, Kaltofen, Lenstra]
- Leads to (simple, efficient) list-decoding correcting  $\kappa$  fraction errors for  $\kappa \rightarrow 1$

# Summary and conclusions

- (Many) errors can be dealt with:
  - Pre-Shannon: vanishing fraction of errors
  - Pre-list-decoding: small constant fraction
  - Post-list-decoding: overwhelming fraction
- Future challenges?
  - Communication can overcome errors introduced by channels.
  - Can communication overcome errors in misunderstanding between sender and receiver?
    - [Goldreich, Juba, S. '2011];  
[Juba, Kalai, Khanna, S. '2011] ....

**Thank You!**