

# Algebraic Codes and Invariance

**Madhu Sudan**

Microsoft Research

# Disclaimer

- Very little Algebraic Geometry in this talk!
- Mainly:
  - Ex-Coding theorist's perspective on Algebraic and Algebraic-Geometric Codes
    - What additional properties it would be nice to have in algebraic-geometry codes.

# Outline of the talk

- Part 1: Codes and Algebraic Codes
- Part 2: Combinatorics of Algebraic Codes
  - ⇐ Fundamental theorem(s) of algebra
- Part 3: Algorithmics of Algebraic Codes
  - ⇐ Product property
- Part 4: Locality of (some) Algebraic Codes
  - ⇐ Invariances
- Part 5: Conclusions

# Part 1: Basic Definitions

# Error-correcting codes

- Notation:  $\mathbb{F}_q$  - finite field of cardinality  $q$
- Encoding function: messages  $\mapsto$  codewords
  - $E: \mathbb{F}_q^k \rightarrow \mathbb{F}_q^n$ ; associated Code  $C \triangleq \{E(m) | m \in \mathbb{F}_q^k\}$
  - Key parameters:
    - Rate  $R(C) = k/n$  ;
    - Distance  $\delta(C) = \min_{x \neq y \in C} \{\delta(x, y)\}$ .
      - $\delta(x, y) = \frac{|\{i | x_i \neq y_i\}|}{n}$
- Pigeonhole Principle  $\Rightarrow R(C) + \delta(C) \leq 1 + \frac{1}{n}$
- Algebraic codes: Get very close to this limit!

# Algorithmic tasks

- Encoding: Compute  $E(m)$  given  $m$ .
- Testing: Given  $r \in \mathbb{F}_q^n$ , decide if  $\exists m$  s.t.  $r = E(m)$ ?
- Decoding: Given  $r \in \mathbb{F}_q^n$ , compute  $m$  minimizing  $\delta(E(m), r)$
- [All linear codes nicely encodable, but algebraic ones efficiently (list) decodable.]

# Locality

- Perform tasks (testing/decoding) in  $o(n)$  time.
  - Assume random access to  $r$
  - Suffices to decode  $m_i$ , for given  $i \in [k]$
- [Many algebraic codes locally decodable and testable!]

# Algebraic Codes?

- Generalization of Linear Codes
  - Messages
  - Coordinates
  - Encoding
- Examples
  - Reed-Solomon Codes
  - Reed-Muller Codes
  - Algebraic-Geometric Codes
  - Others (BCH codes, dual BCH codes ...)

Algebraic-Geometry Codes:

Domain = Rational points of irred. curve in  $\mathbb{F}_q^m$

Messages = Functions of bounded "order"

## Part 2: Combinatorics $\Leftarrow$ Fund. Thm



# Essence of combinatorics

- Rate of code  $\Leftarrow$  Dimension of vector space
- Distance of code  $\Leftarrow$  Scarcity of roots
  - Univ poly of deg  $\leq k$  has  $\leq k$  roots.
  - Multiv poly of deg  $\leq k$  has  $\leq \frac{k}{q}$  fraction roots.
  - Functions of order  $\leq k$  have fewer than  $\leq k$  roots
    - [Bezout, Riemann-Roch, Ihara, Drinfeld-Vladuts]
    - [Tsfasman-Vladuts-Zink, Garcia-Stichtenoth]

# Consequences

- $q \geq n \Rightarrow \exists$  codes  $C$  satisfying  $R(C) + \delta(C) = 1 + \frac{1}{n}$
- For infinitely many  $q$ , there exist infinitely many  $n$ , and codes  $C_{q,n}$  over  $\mathbb{F}_q$  satisfying

$$R(C_{q,n}) + \delta(C_{q,n}) \geq 1 - \frac{1}{\sqrt{q} - 1}$$

- Many codes that are better than random codes
  - Reed-Solomon, Reed-Muller of order 1, AG, BCH, dual BCH ...
- Moral: Distance property  $\Leftarrow$  Algebra!

## Part 3: Algorithmics $\Leftarrow$ Product property

# Remarkable algorithmics

- Combinatorial implications:
  - Code of distance  $\delta$ 
    - Corrects  $\frac{\delta}{2}$  fraction errors uniquely.
    - Corrects  $1 - \sqrt{1 - \delta}$  fraction errors with small lists.
- Algorithmically?
  - For all known algebraic codes, above can be matched!
  - Why?

# Product property

- For Reed-Solomon Codes:
  - $C = \text{deg } k \text{ poly}$
  - $E = \text{deg } \frac{n-k}{2} \text{ poly}$
  - $E * C = \text{deg } \frac{n+k}{2} \text{ poly}$

- Obvious, but remarkable, feature:

- For every known algebraic code  $C$  of distance  $\delta$

$\exists$  code  $E$  of co-dimension  $\approx \frac{\delta}{2}n$  s.t.

$E * C$  is a code of distance  $\frac{\delta}{2}$ .

- Terminology:  $(E, E * C) \triangleq$  error-locating pair for  $C$

# Unique decoding by error-locating pairs

- Given  $r \in \mathbb{F}_q^n$  : Find  $x \in C$  s.t.  $\delta(x, r) \leq \frac{\delta}{2}$
- Algorithm
  - Step 1: Find  $e \in E, f \in E * C$  s.t.  $e * r = f$ 
    - [Pellikaan], [Koetter], [Duursma] – 90s
  - Step 2: Find  $x \in C$  s.t.  $x * r = f$ 
    - [Linear system again!]
- Analysis:
  - Solution to Step 1 exists?
    - Yes – provided  $\dim(E) > \# \text{errors}$
  - Solution to Step 2  $\hat{x} = x$ ?
    - Yes – Provided  $\delta(E)$  large enough.

# List decoding abstraction

- Increasing basis sequence  $b_1, b_2, \dots$
- $C_i \triangleq \text{span}\{b_1, \dots, b_i\}$
- $\delta(C_i) \approx n - i + o(n)$
- $b_i * b_j \in C_{i+j} \quad (\Leftrightarrow C_i * C_j \subseteq C_{i+j})$

- Reed-Solomon Codes:  
 $b_i = x^i$  (or its evaluations etc.)

ing  
errors  
exist for codes with increasing basis sequences.

- (Increasing basis  $\Rightarrow$  Error-locating pairs)

## Part 4: Locality $\Leftarrow$ Invariances



# Locality in Codes

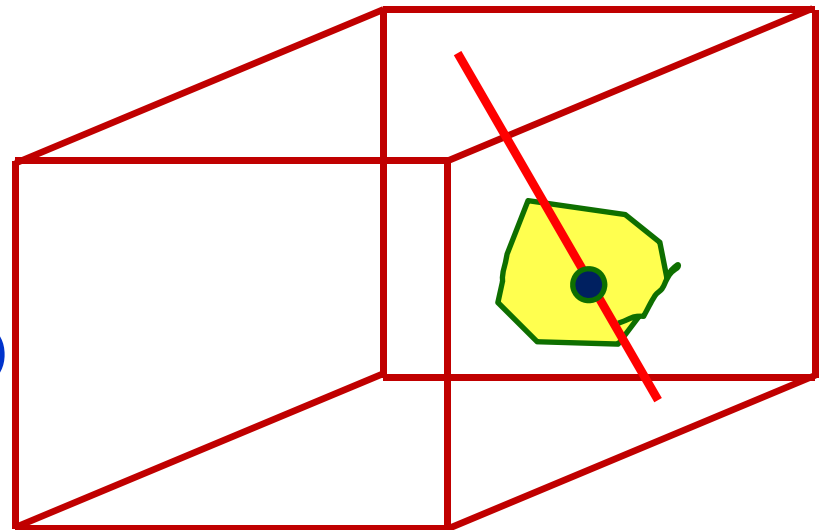
- General motivation:
  - Does correcting linear fraction of errors require scanning the whole code? Does testing?
    - Deterministically: Yes!
    - Probabilistically? Not necessarily!!
  - If possible, potentially a very useful concept
    - Definitely in other mathematical settings
      - PCPs, Small-set expanders, Hardness amplification, Private information retrieval ...
    - Maybe even in practice
- Aside: Related to LRCs from Judy Walker's talk.
  - Focus here on more errors.

# Locality of some algebraic codes

- Locality is a rare phenomenon.
  - Reed-Solomon codes are not.
  - Random codes are not.
  - AG codes are (usually) not.
- Basic examples are algebraic ...
- ... and a few composition operators preserve it.
  
- Canonical example: Reed-Muller Codes = low-degree polynomials.

# Main Example: Reed-Muller Codes

- Message = multivariate polynomial;  
Encoding = evaluations everywhere.
  - $\text{RM}[m, r, q] = \{ \langle f(\alpha) \rangle_{\alpha \in \mathbb{F}_q^m} \mid f \in \mathbb{F}_q[x_1, \dots, x_m], \deg(f) \leq r \}$
- Locality? (when  $r < q$ )
  - Restrictions of low-degree polynomials to lines yield low-degree (univ.) polys.
  - Random lines sample  $\mathbb{F}_q^m$  uniformly (pairwise ind'ly)
  - Locality  $\sim q$



# Locality $\Leftarrow$ ?

- Necessary condition: Small (local) constraints.
  - Examples
    - $\deg(f) \leq 1 \Leftrightarrow f(x) + f(x + 2y) = 2f(x + y)$
    - $f|_{line}$  has low-degree (so values on line are not arbitrary)
- Local Constraints  $\Rightarrow$  local decoding/testing?
  - No!
- Transitivity + locality?

# Symmetry in codes

- $Aut(C) \triangleq \{\pi \in S_n \mid \forall x \in C, x^\pi \in C\}$
- Well-studied concept.
  - “Cyclic codes”
- Basic algebraic codes (Reed-Solomon, Reed-Muller, BCH) symmetric under affine group
  - Domain is vector space  $\mathbb{F}_Q^t$  (where  $Q^t = n$ )
  - Code invariant under non-singular affine transforms from  $\mathbb{F}_Q^t \rightarrow \mathbb{F}_Q^t$

# Symmetry and Locality

- Code has  $\ell$ -local constraint + 2-transitive  $\Rightarrow$  Code is  $\ell$ -locally decodable from  $O\left(\frac{1}{\ell}\right)$ -fraction errors.
  - 2-transitive? –
    - $\forall i \neq j, k \neq l \exists \pi \in \text{Aut}(C) \text{ s.t. } \pi(i) = k, \pi(j) = l$
  - Why?
    - Suppose constraint  $f(a) = f(b) + f(c) + f(d)$
    - Wish to determine  $f(x)$
    - Find random  $\pi \in \text{Aut}(C) \text{ s.t. } \pi(a) = x;$   
 $f(x) = f(\pi(b)) + f(\pi(c)) + f(\pi(d));$   
 $\pi(b), \pi(c), \pi(d)$  random, ind. of  $x$

# Symmetry + Locality - II

- Local constraint + affine-invariance  $\Rightarrow$  Local testing ... specifically
- Theorem [Kaufman-S.'08]:
  - $\mathcal{C}$   $\ell$ -local constraint & is  $\mathbb{F}_Q^t$ -affine-invariant  $\Rightarrow \mathcal{C}$  is  $\ell'(\ell, Q)$ -locally testable.
- Theorem [Ben-Sasson, Kaplan, Kopparty, Meir]
  - $\mathcal{C}$  has product property & 1-transitive  $\Rightarrow \exists \mathcal{C}'$  1-transitive and locally testable and product property
- Theorem [B-S, K, K, M, Stichtenoth]
  - Such  $\mathcal{C}$  exists. ( $\mathcal{C}$  = AG code)

## Aside: Recent Progress in Locality - 1

- [Yekhanin, Efremenko '06]: 3-Locally decodable codes of subexponential length.
- [Kopparty-Meir-RonZewi-Saraf '15]:
  - $n^{o(1)}$ -locally decodable codes w.  $R + \delta \rightarrow 1$
  - $\log n^{\log n}$ -locally testable codes w.  $R + \delta \rightarrow 1$
  - Codes not symmetric, but based on symmetric codes.



## Aside – 2: Symmetric Ingredients ...

- Lifted Codes [Guo-Kopparty-S.'13]
  - $C_{m,d,q} = \{f: \mathbb{F}_q^m \rightarrow \mathbb{F}_q \mid \deg(f|_{line}) \leq d \forall line\}$
- Multiplicity Codes [Kopparty-Saraf-Yekhanin'10]
  - Message = biv. polynomial
  - Encode  $f$  via evaluations of  $(f, f_x, f_y)$

# Part 5: Conclusions

# Remarkable properties of Algebraic Codes

- Strikingly strong combinatorially:
  - Often only proof that extreme choices of parameters are feasible.
- Algorithmically tractable!
  - The product property!
- Surprisingly versatile
  - Broad search space (domain, space of functions)

## Quest for future

- Construct algebraic geometric codes with rich symmetries.
  - In general points on curve have few(er) symmetries.
  - Can we construct curve carefully?
    - Symmetry inherently?
    - Symmetry by design?
- Still work to be done for specific applications.

**Thank You!**